

**On connected sum of  
generalized Kinoshita's theta-curves**

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The 8th East Asian School of Knots and Related Topics,

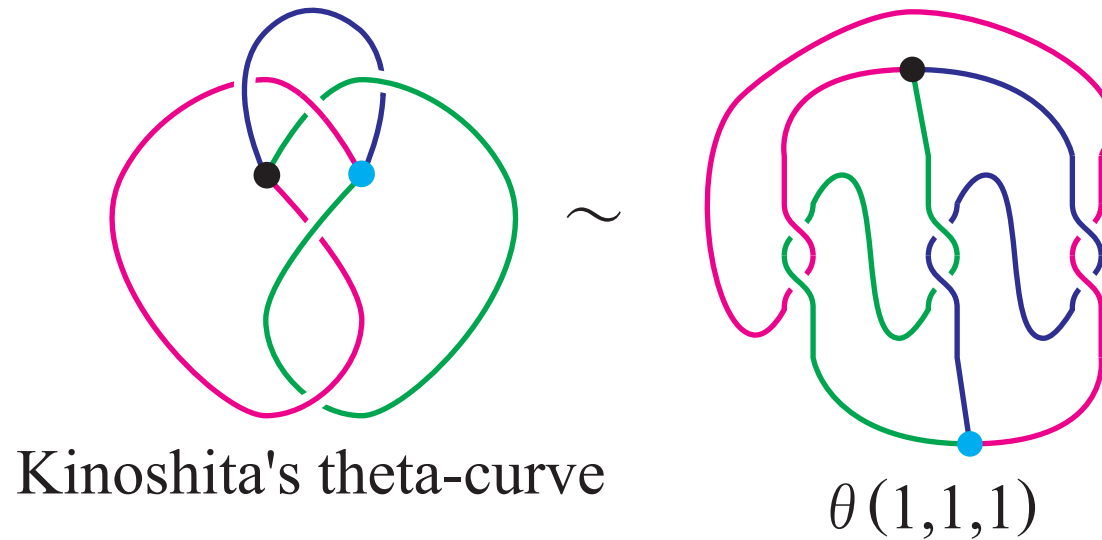
January 11th, 2012

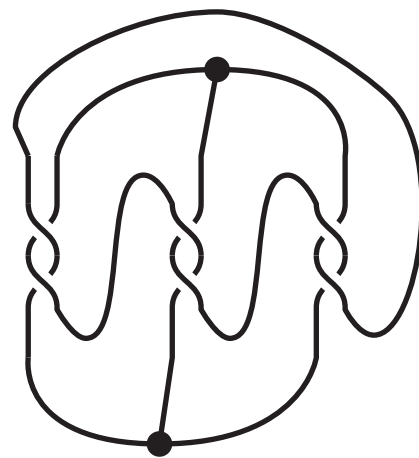
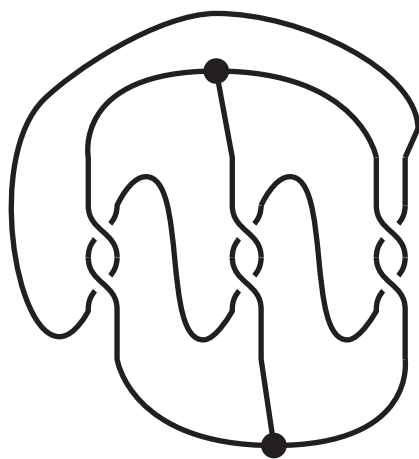
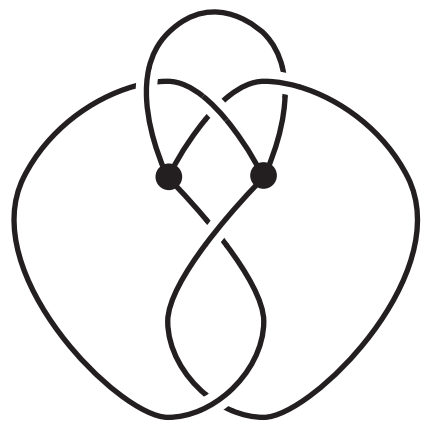
## Abstract

Kinoshita's theta-curve  $\theta(1, 1, 1)$  is locally unknotted theta-curve, that is, its constituent knots are all trivial. We obtain generalized Kinoshita's theta-curve  $\theta(i, j, k)$  by adding full-twists to  $\theta(1, 1, 1)$ . In this talk we discuss about order-3 vertex connected sums of  $\theta(i, j, k)$  and  $\theta(i', j', k')$ .

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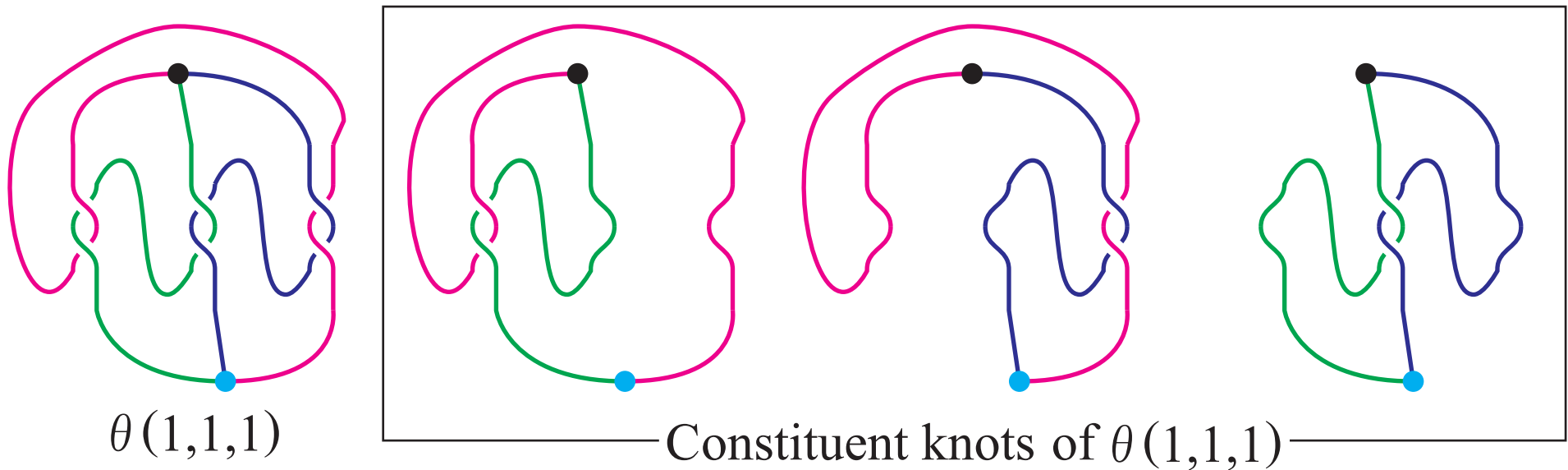
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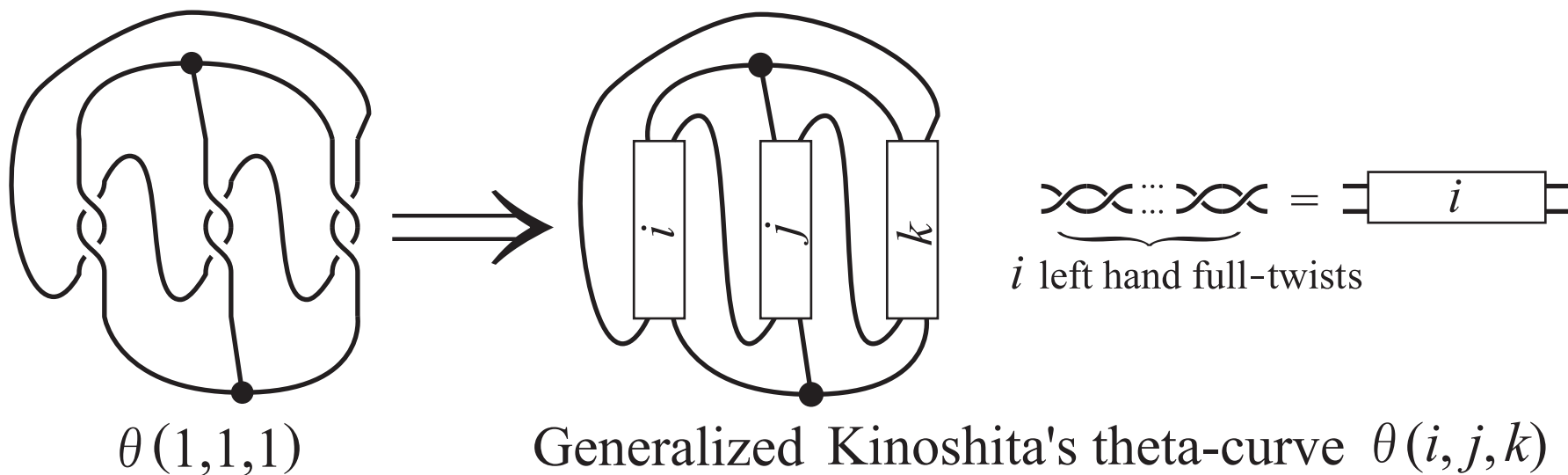
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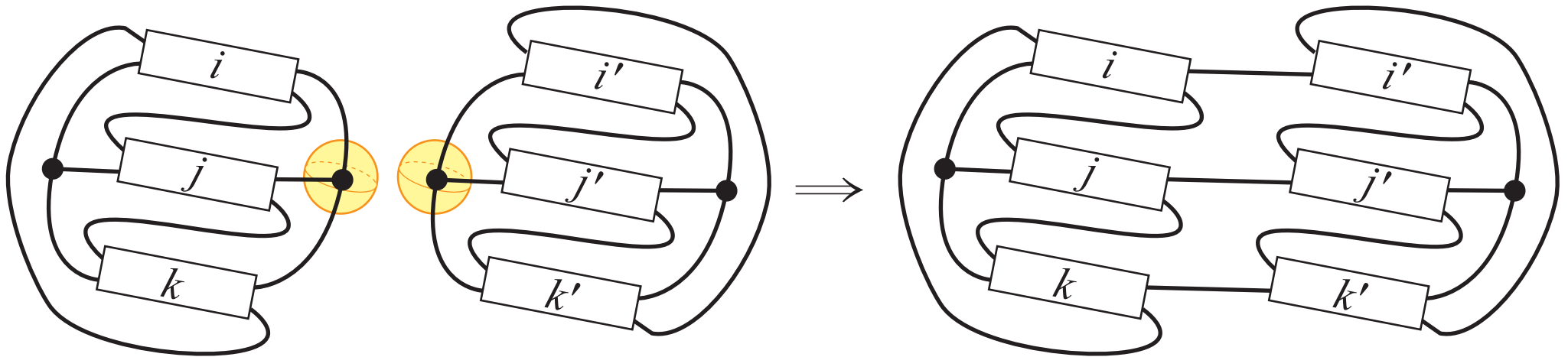
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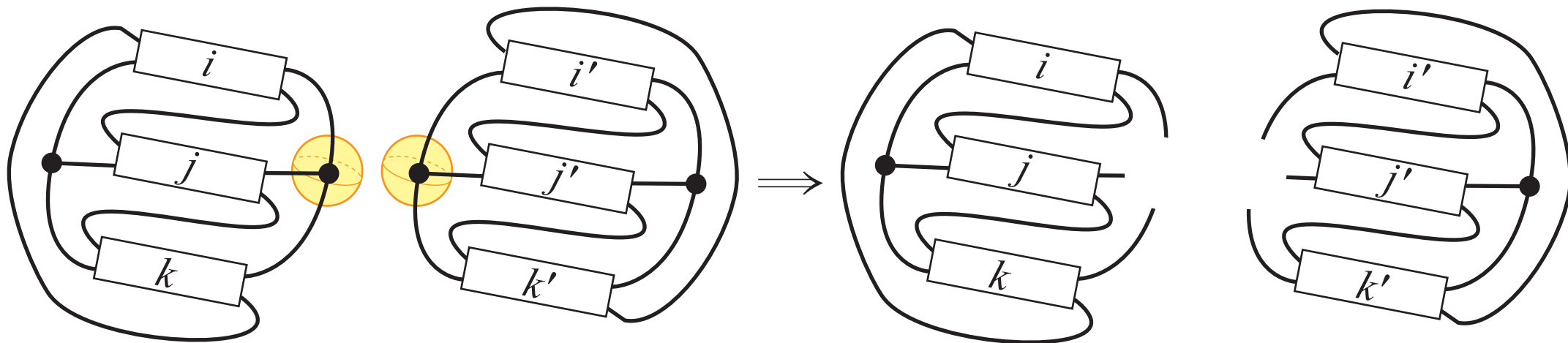
Order-3 vertex connected sum of  $\theta(i, j, k)$  and  $\theta(i', j', k')$

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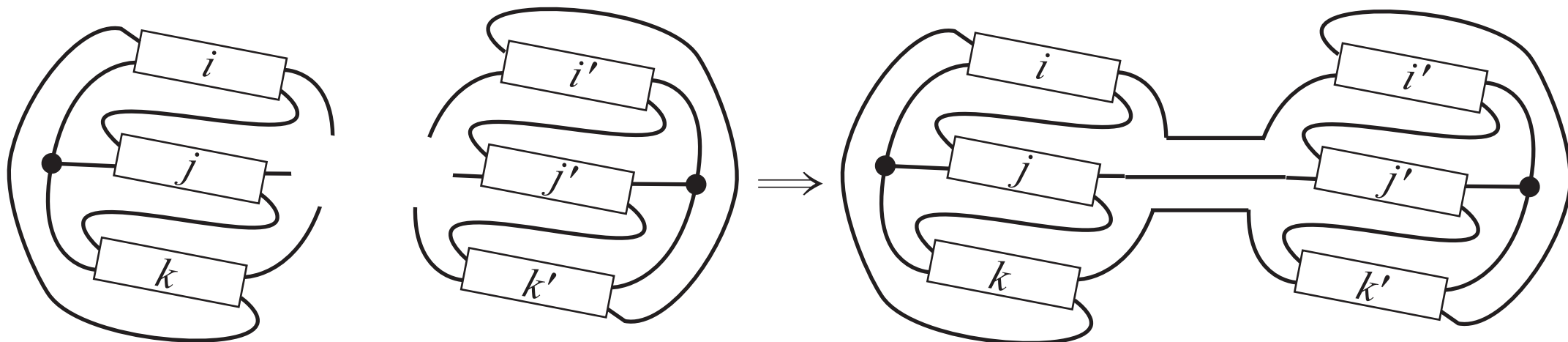
- §1. Order-3 vertex connected sum
- §2. Generalized Kinoshita's theta-curve
- §3. Associated link
- §4. Result



Order-3 vertex connected sum [Wolcott,1989]

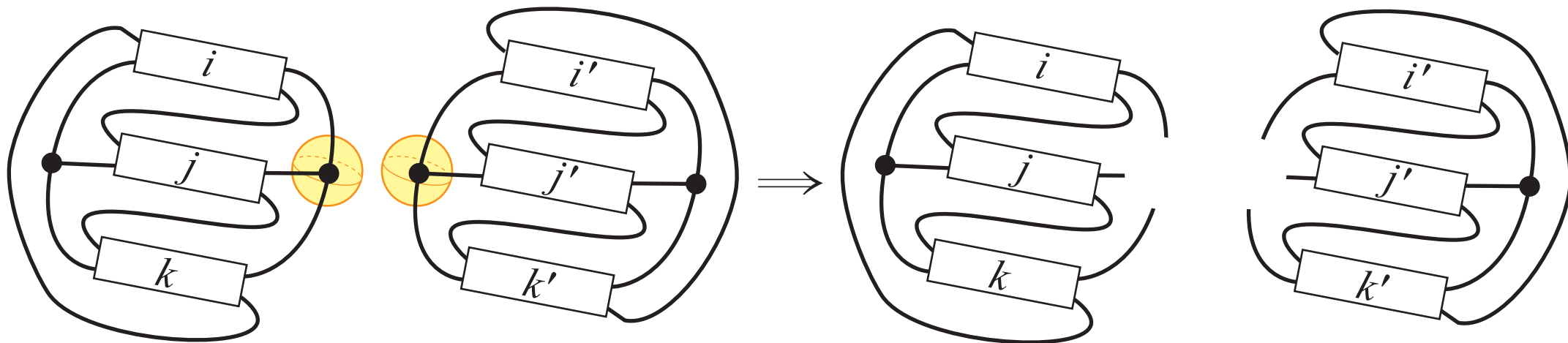


Remove 3-balls.

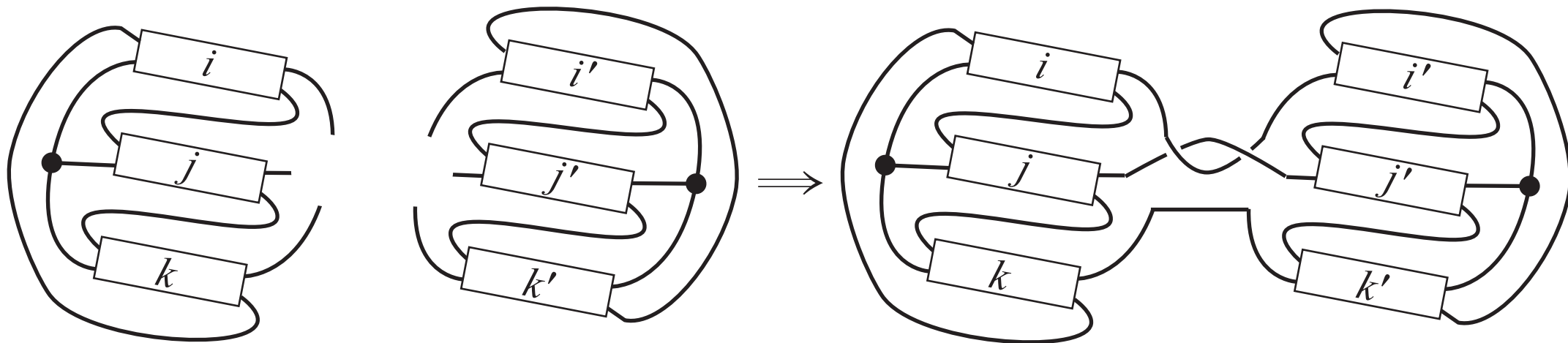


Glue the remaining 3-balls together.

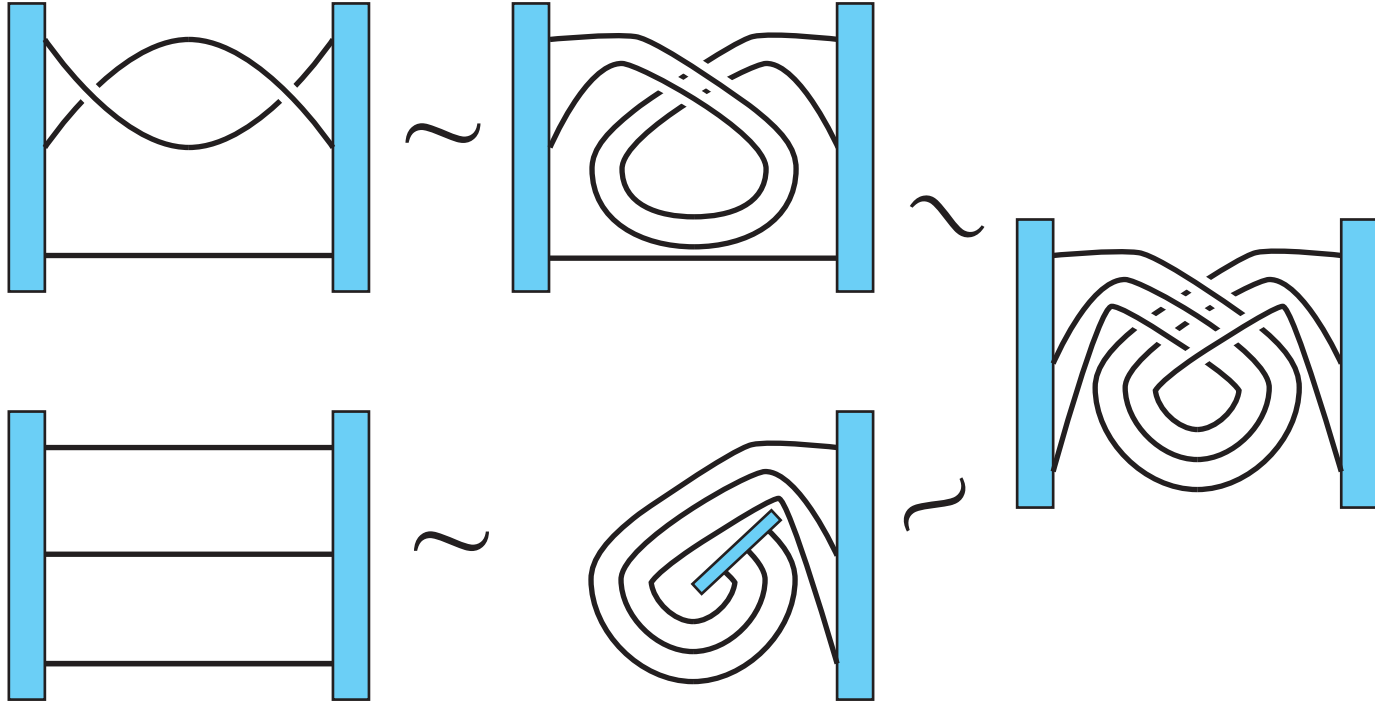
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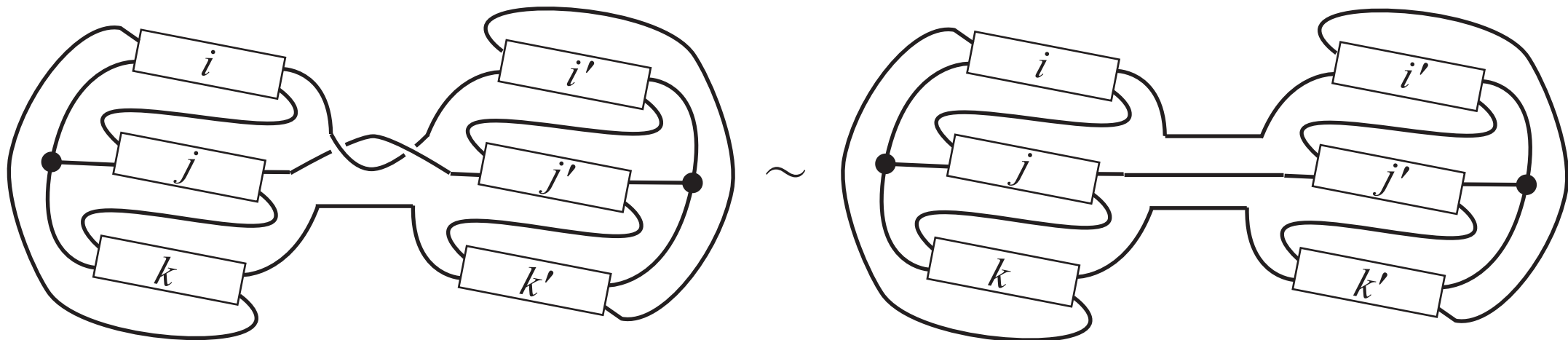
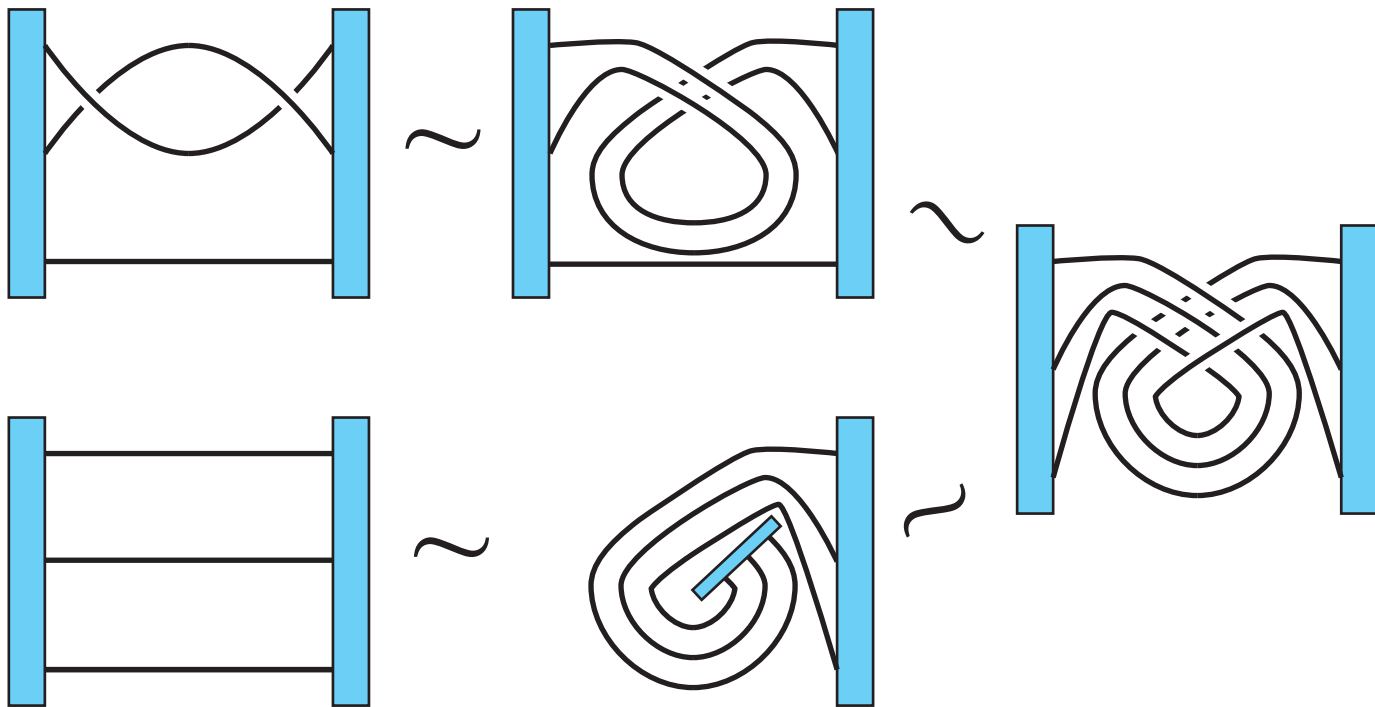


Remove 3-balls.

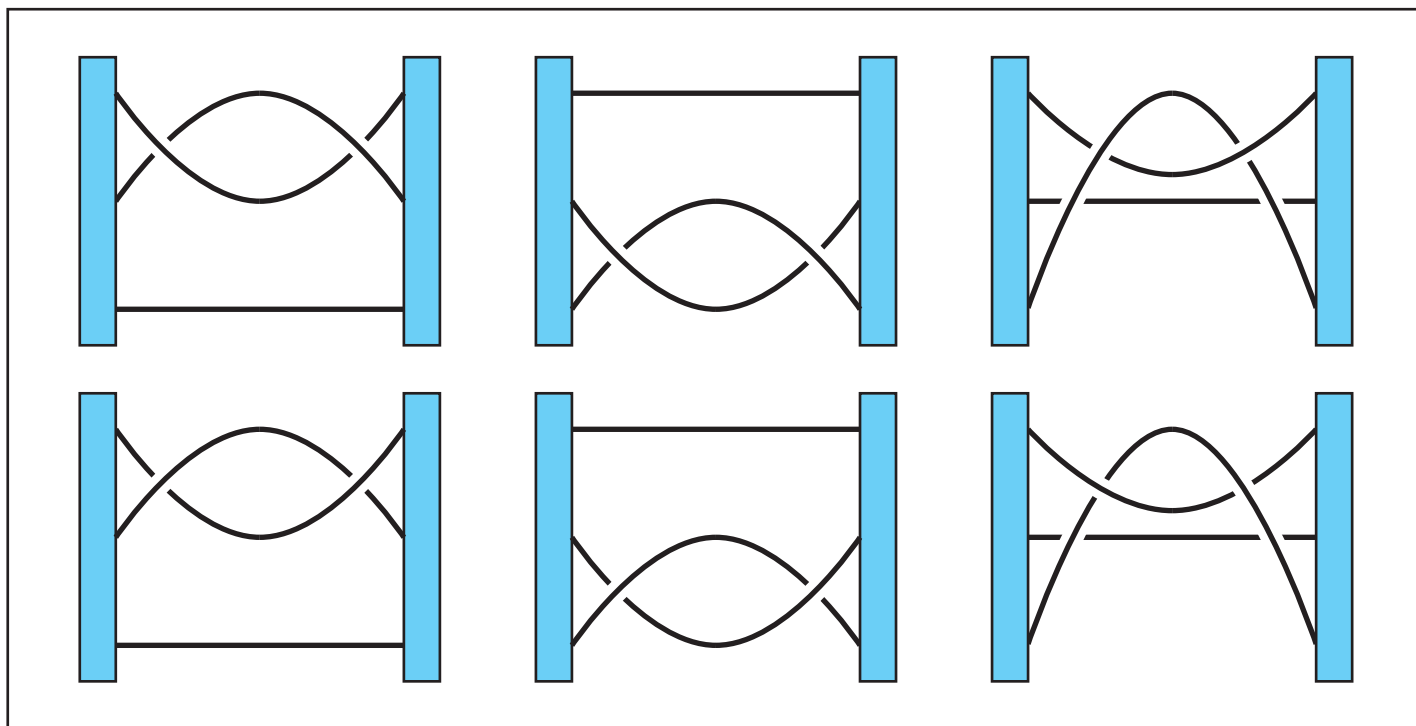


How about this situation?





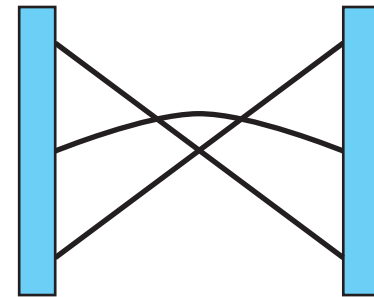
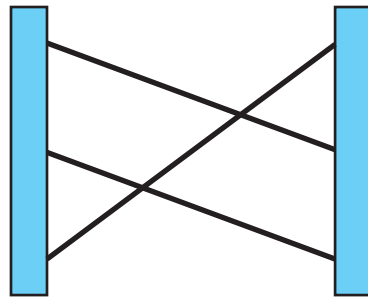
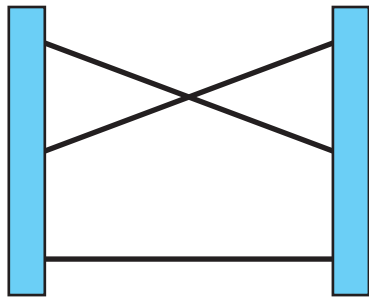
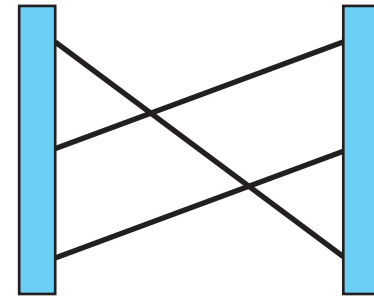
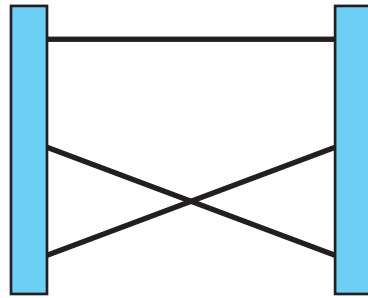
Full-twists of bonds can be canceled.



$\sim$

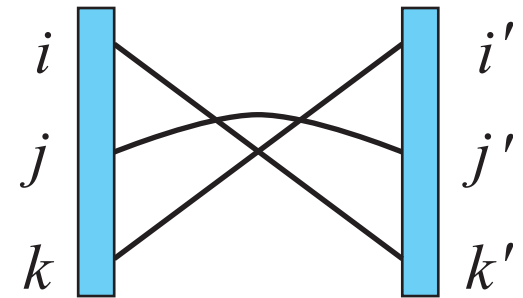
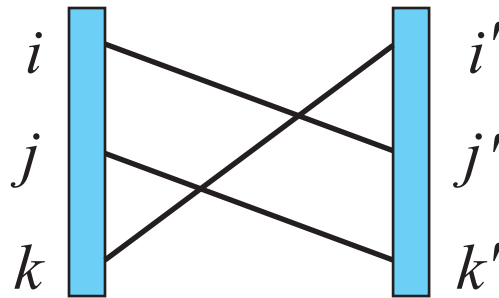
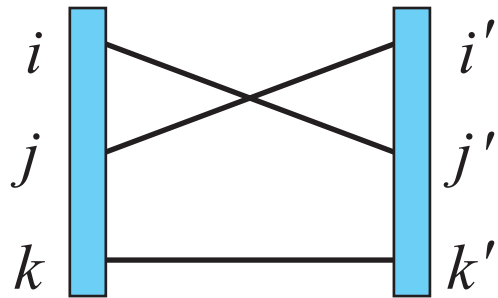
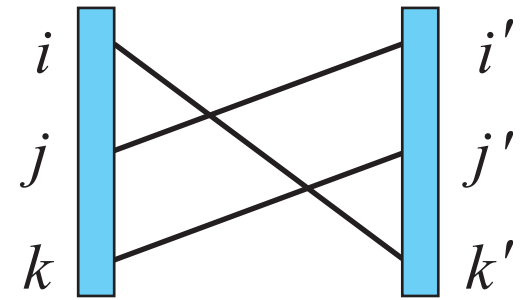
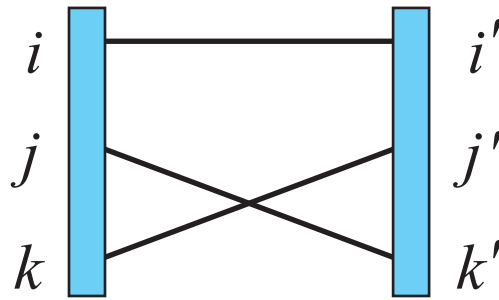
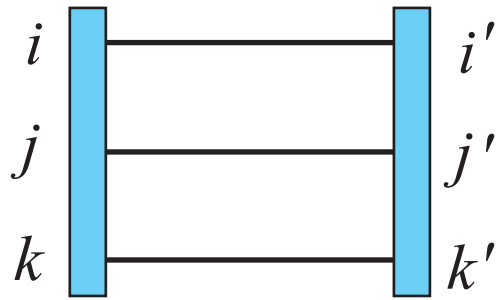


**Fact.** For any two given vertices, we need to consider **only six** different order-3 vertex connected sums.



**Note.** Over/under information of crossings are not important.

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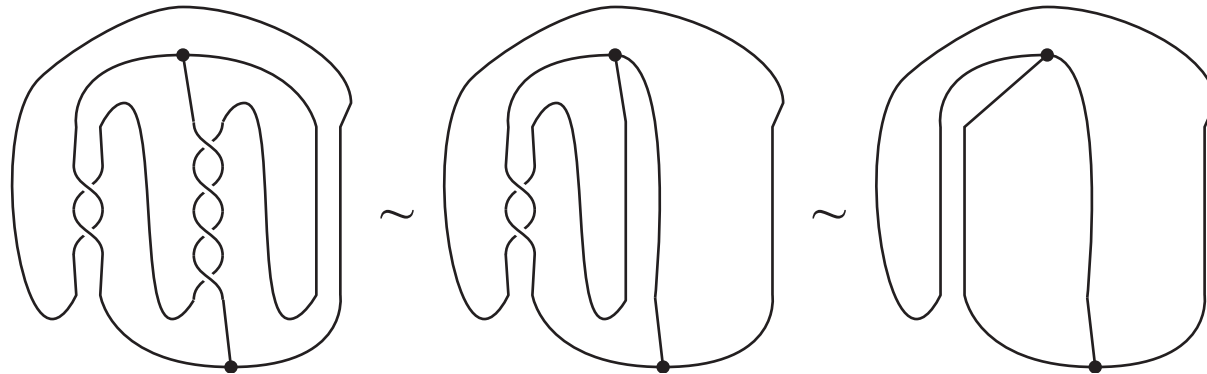
$$\theta(i, j, k) \#_3 \theta(i', j', k') \quad \theta(i, j, k) \#_3 \theta(i', k', j') \quad \theta(i, j, k) \#_3 \theta(k', i', j')$$

$$\theta(i, j, k) \#_3 \theta(j', i', k') \quad \theta(i, j, k) \#_3 \theta(j', k', i') \quad \theta(i, j, k) \#_3 \theta(k', j', i')$$

## Generalized Kinoshita's theta-curve

**Remark.** If  $ijk = 0$ , generalized Kinoshita's theta-curve  $\theta(i, j, k)$  is trivial.

$(i = 1, j = 2, k = 0)$

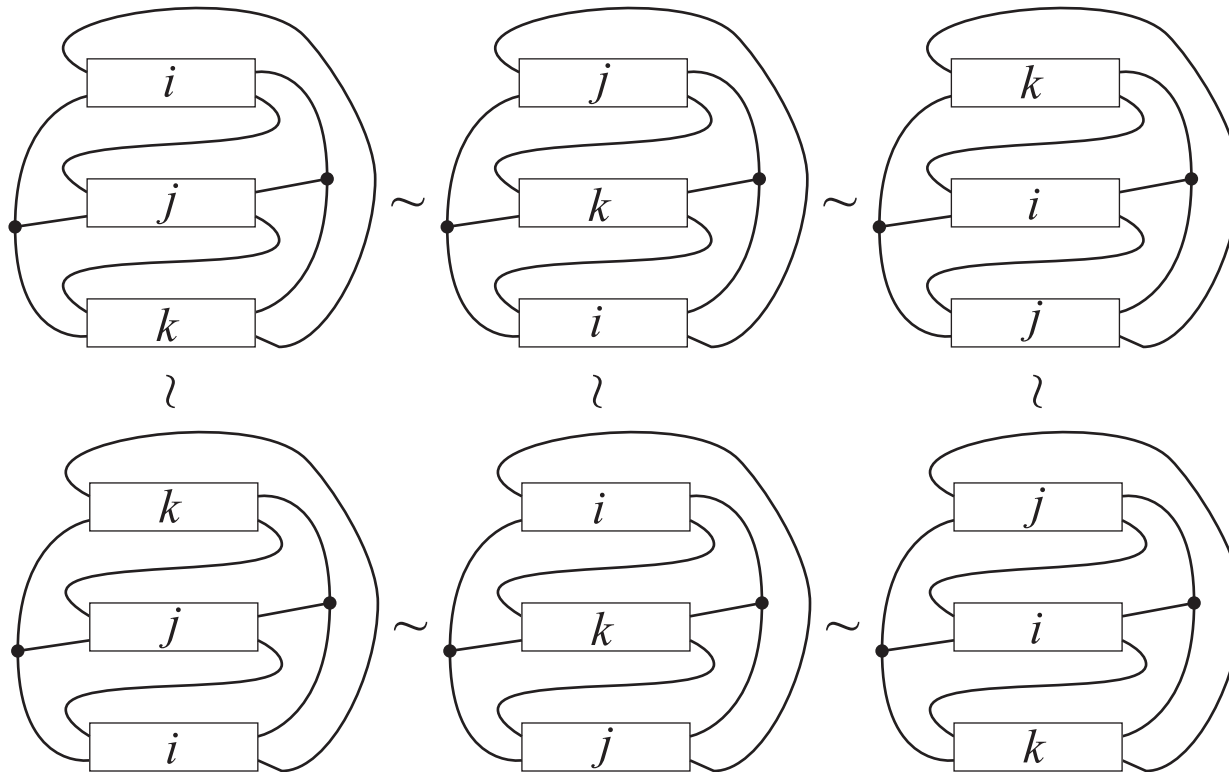


From now on, we consider the case  $ijk \neq 0$ .



**Remark.** Generalized Kinoshita's theta-curve has symmetry.

That is,  $\theta(i, j, k) \sim \theta(j, k, i) \sim \theta(k, i, j)$ . Moreover, if we do not consider the orientation,  $\theta(i, j, k) \sim \theta(j, k, i) \sim \theta(k, i, j) \sim \theta(j, i, k) \sim \theta(i, k, j) \sim \theta(k, j, i)$ .



**Question.**  $\theta(1, 2, 3) \#_3 \theta(4, 5, 6) \stackrel{?}{\sim} \theta(1, 2, 3) \#_3 \theta(5, 4, 6)$ .

**Remark.** We can not detect the difference between  $\theta(i, j, k) \#_3 \theta(i', j', k')$  and  $\theta(i, j, k) \#_3 \theta(j', k', i')$  by **the Yamada polynomial**.

**Theorem.** [Yamada, 1989]

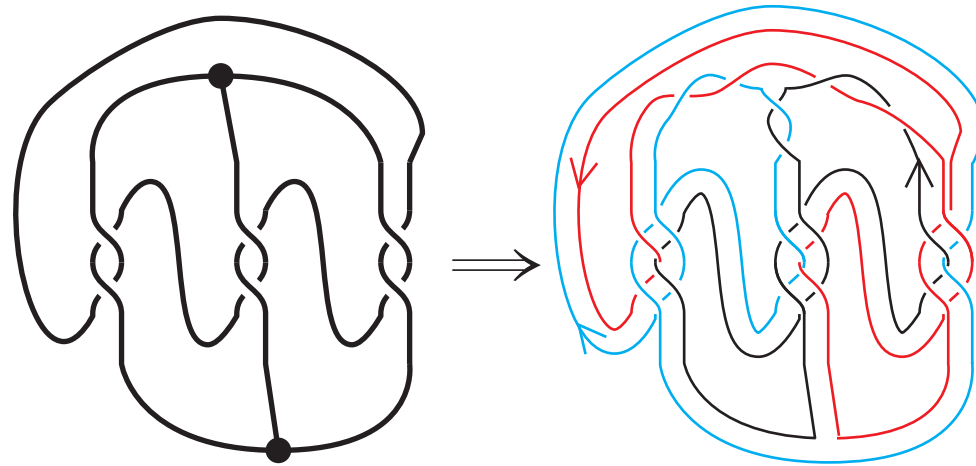
$g, g'$ : spatial graph diagrams

$R(g) \in \mathbf{Z}[A, A^{-1}]$ : the Yamada polynomial of  $g$

$$R(g \#_3 g') = \frac{R(g) R(g')}{-A^2 - A - 2 - A^{-1} - A^{-2}} = \frac{R(g) R(g')}{R(\bigoplus)}$$

Therefore, we need another invariant.

## Associated link [Kauffman-Simon-Wolcott-Zhao,1993]



$$\text{lk}(K_1, K_2) = 0$$

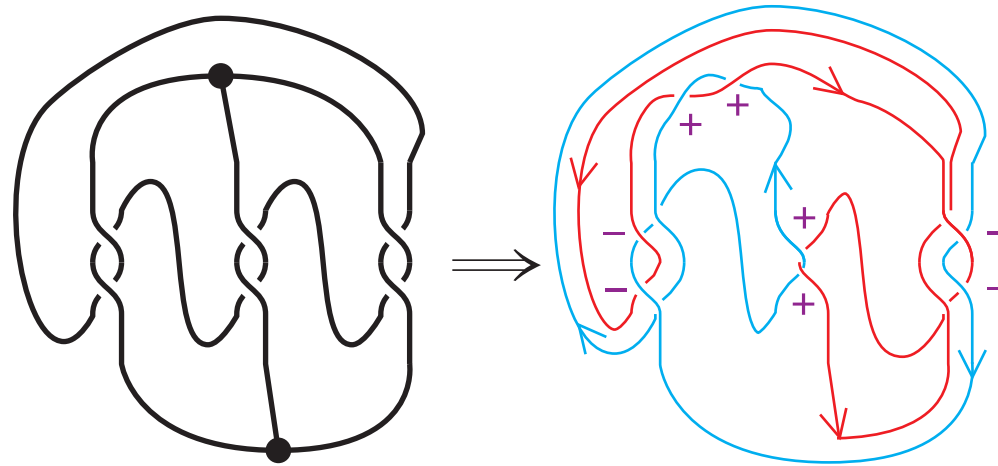
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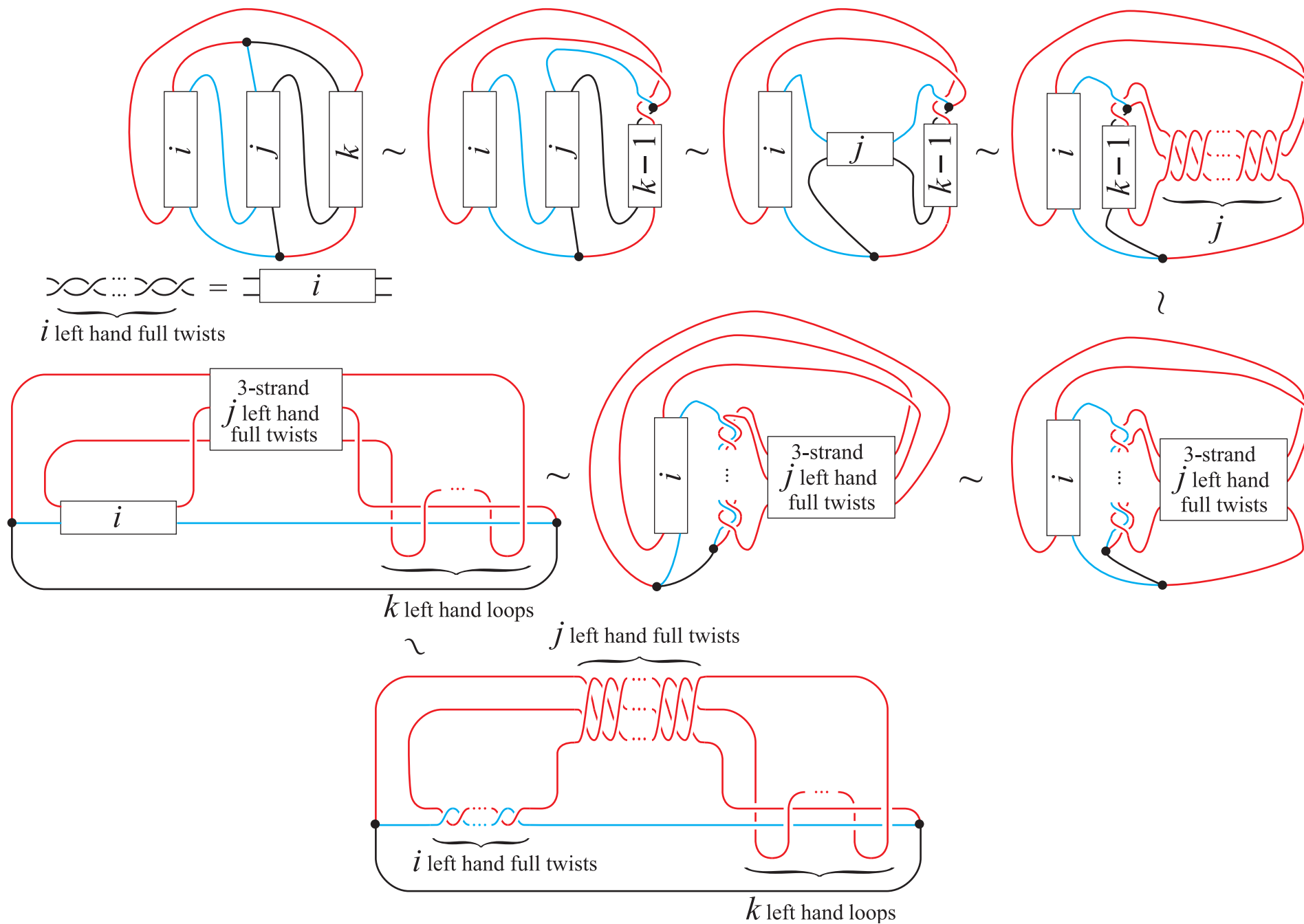
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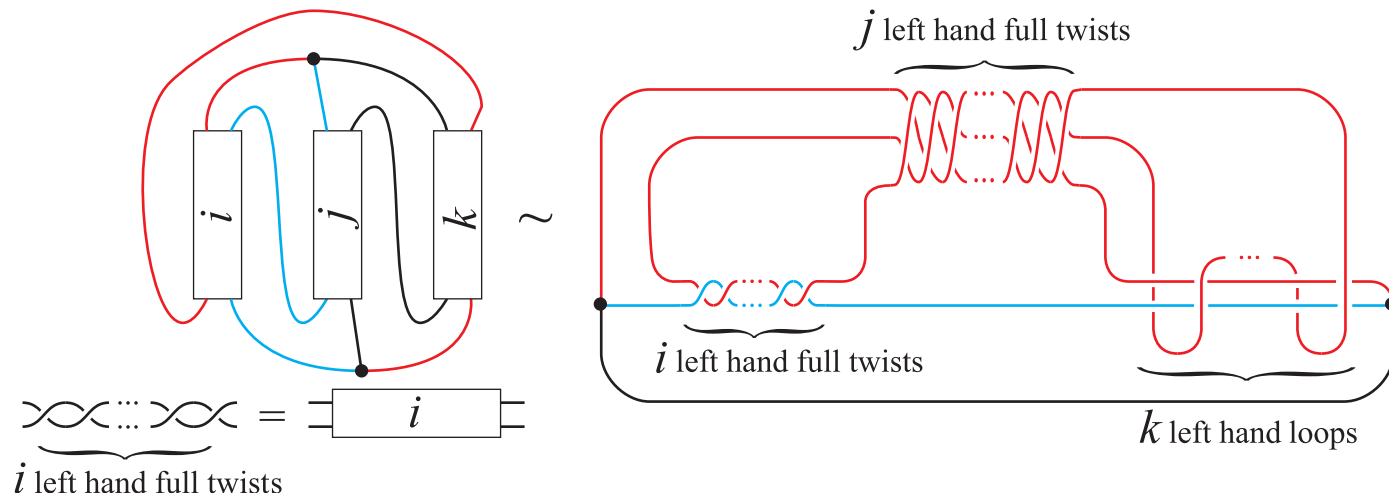
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**Theorem.** [Kauffman-Simon-Wolcott-Zhao,1993]

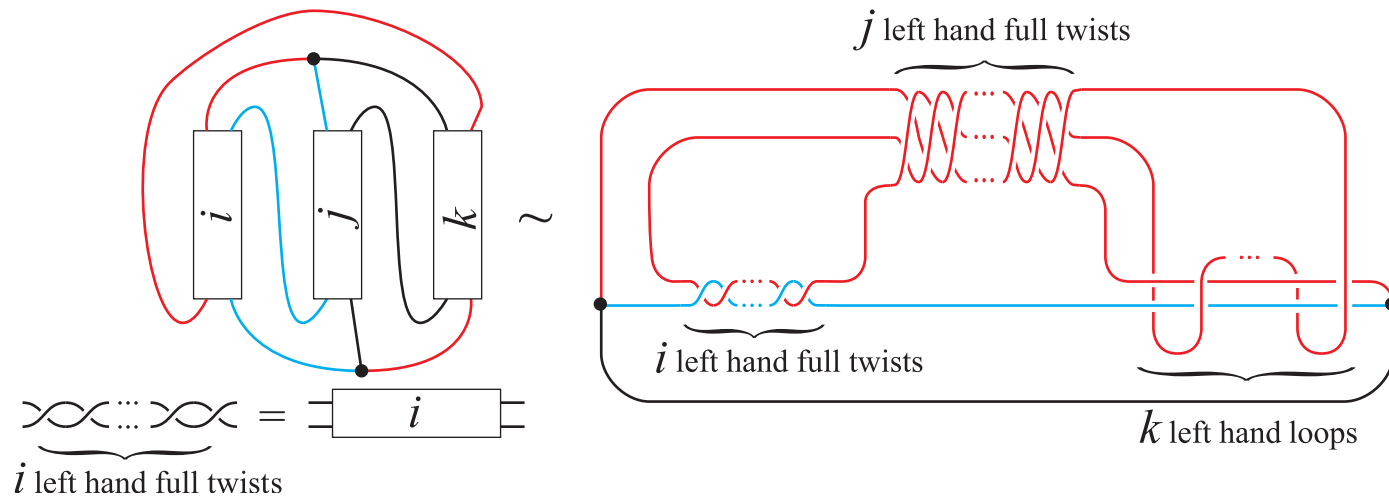
A theta-curve can be associated uniquely with a link of self linking number zero. Moreover, the link is an ambient isotopy invariant of the theta-curve.

First, we deform  $\theta(i, j, k)$  into the following.

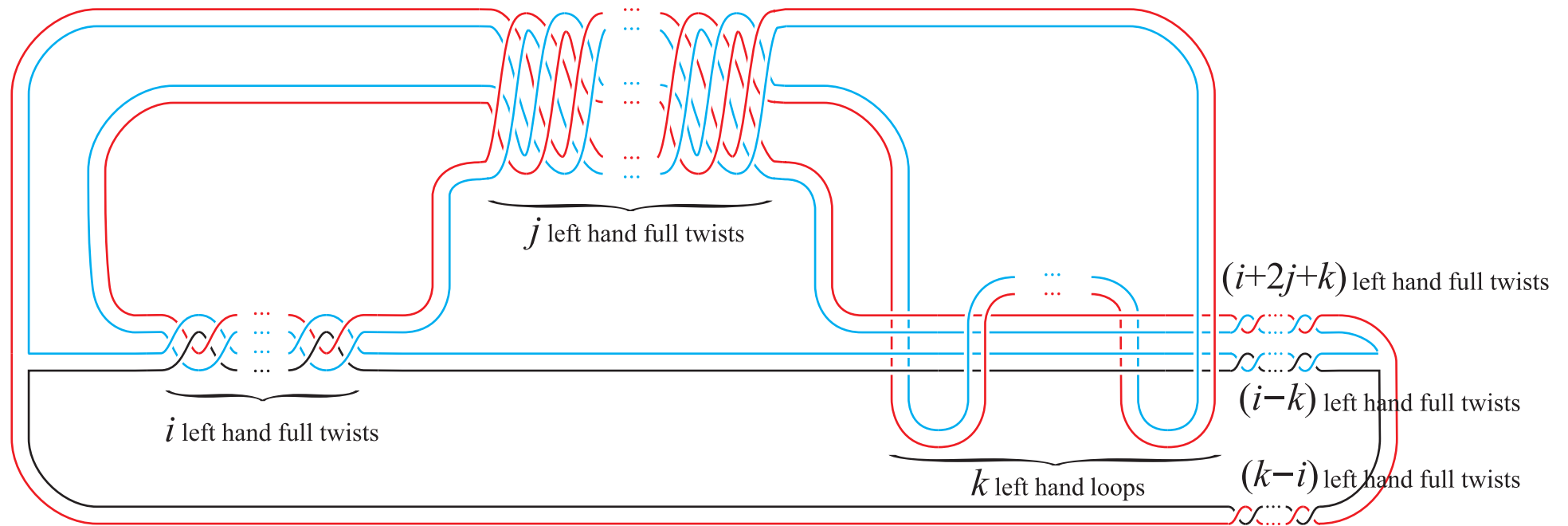




For  $\theta(i, j, k)$ , we construct the associated link.

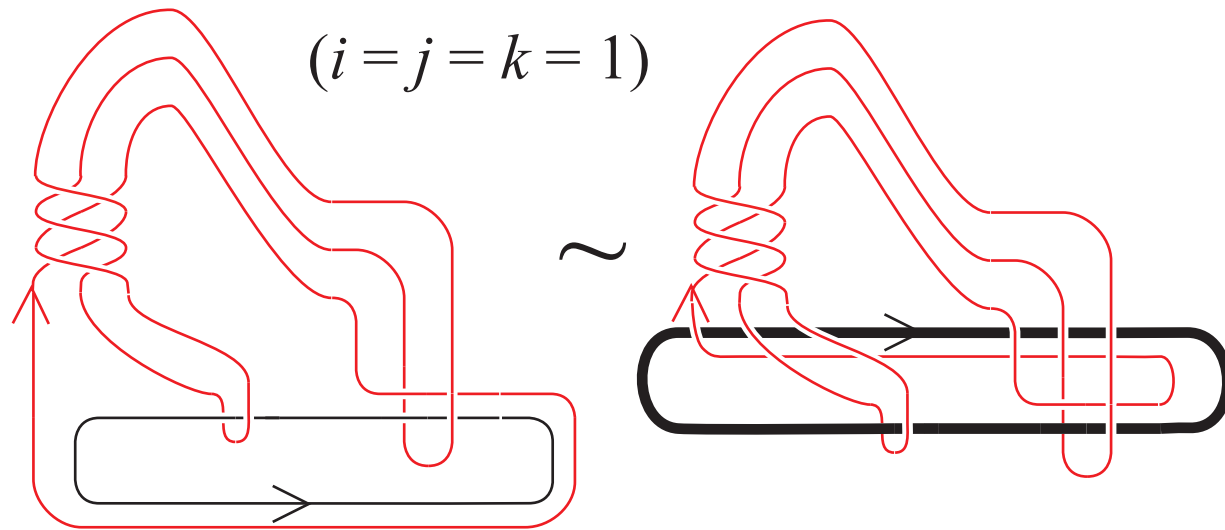


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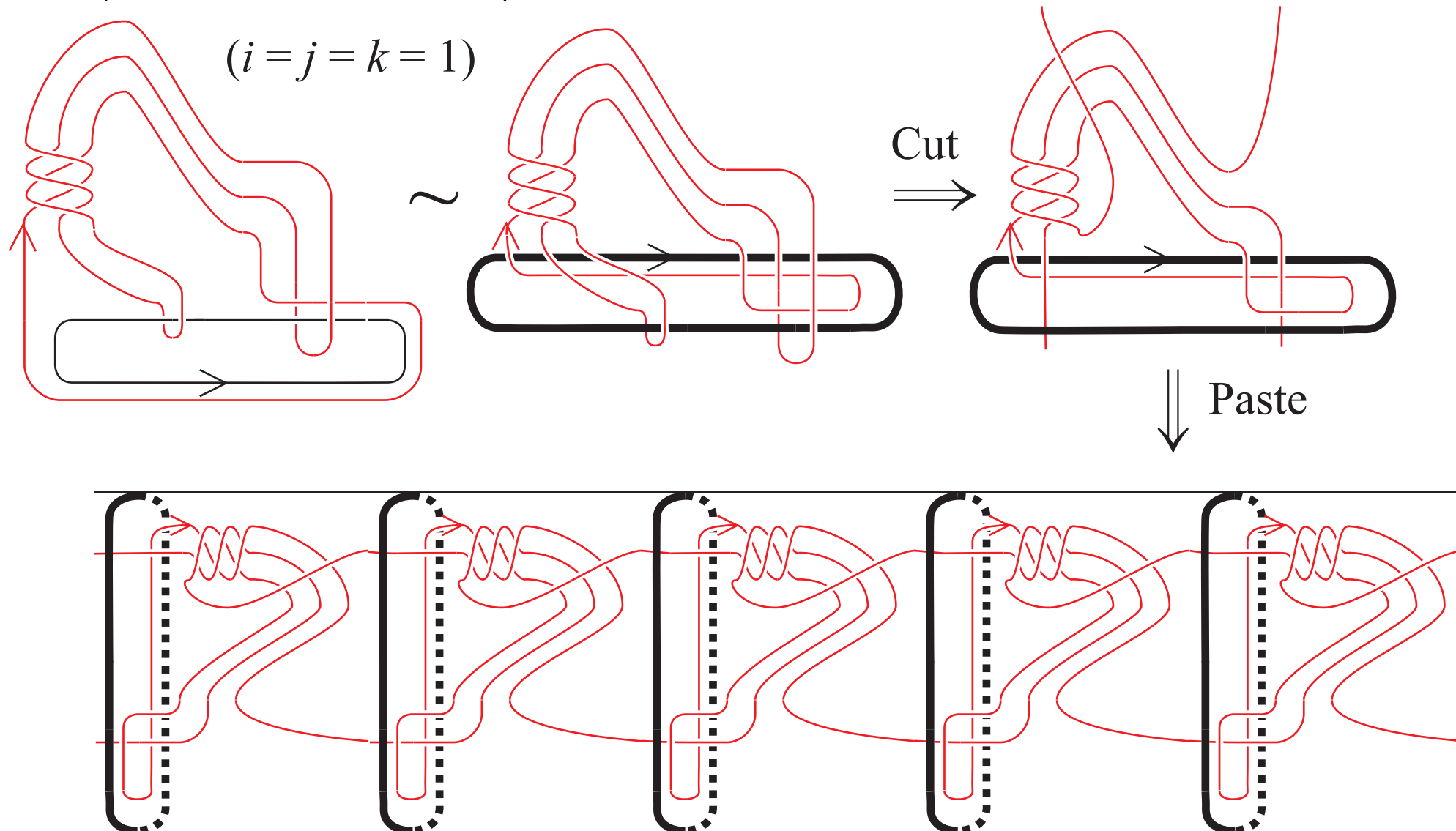
**Remark.** The associated link of  $\theta(i, j, k)$  consists of three trivial components.

Next, we consider 2-component sublink of the associated link.

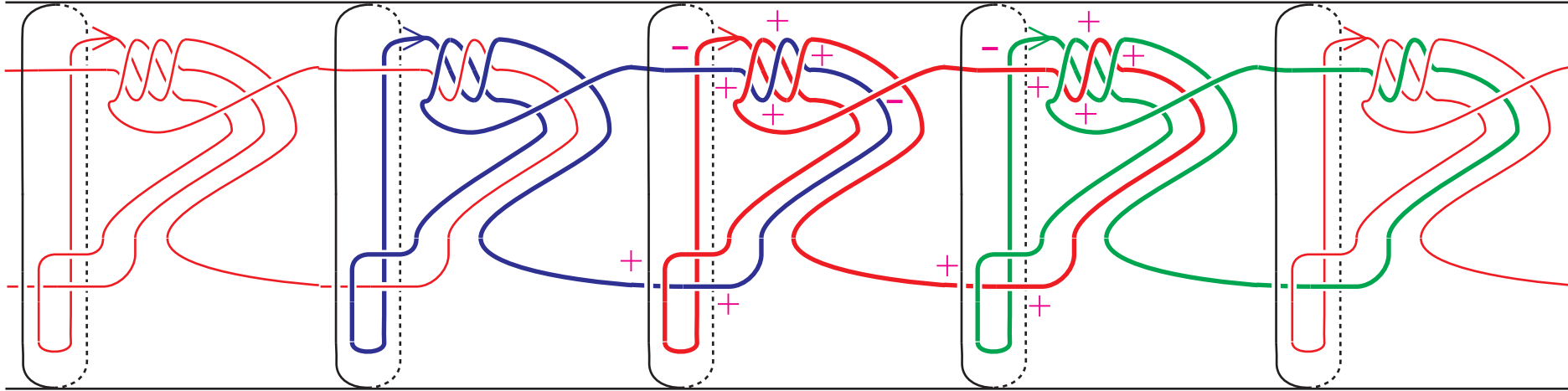




Next, we consider 2-component sublink of the associated link.



**Definition.** We define a polynomial  $f(x)$  by  $f(x) = \sum_{m \neq 0} lk(t^m \tilde{L}, \tilde{L}) x^m$ .



$$\left. \begin{array}{l} lk(t^{-1} \tilde{L}, \tilde{L}) = 2 \quad lk(t \tilde{L}, \tilde{L}) = 2 \\ lk(t^m \tilde{L}, \tilde{L}) = 0 \quad (m \neq 1, -1) \end{array} \right\} \implies f(x) = 2x^{-1} + 2x$$

**Remark.** The polynomial  $f(x)$  is related to **the Kojima-Yamasaki  $\eta$ -function**.

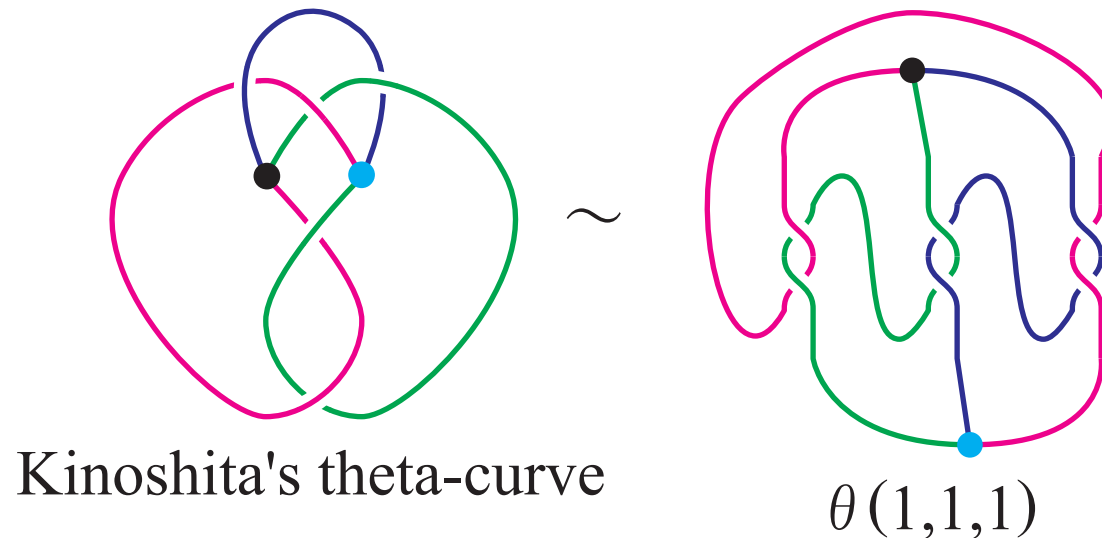
For convenience, we reduce the constant term of the Kojima-Yamasaki invariant.

We have to consider three 2-component sublinks of the associated link, and obtain three polynomials  $f_1(x)$ ,  $f_2(x)$  and  $f_3(x)$ .

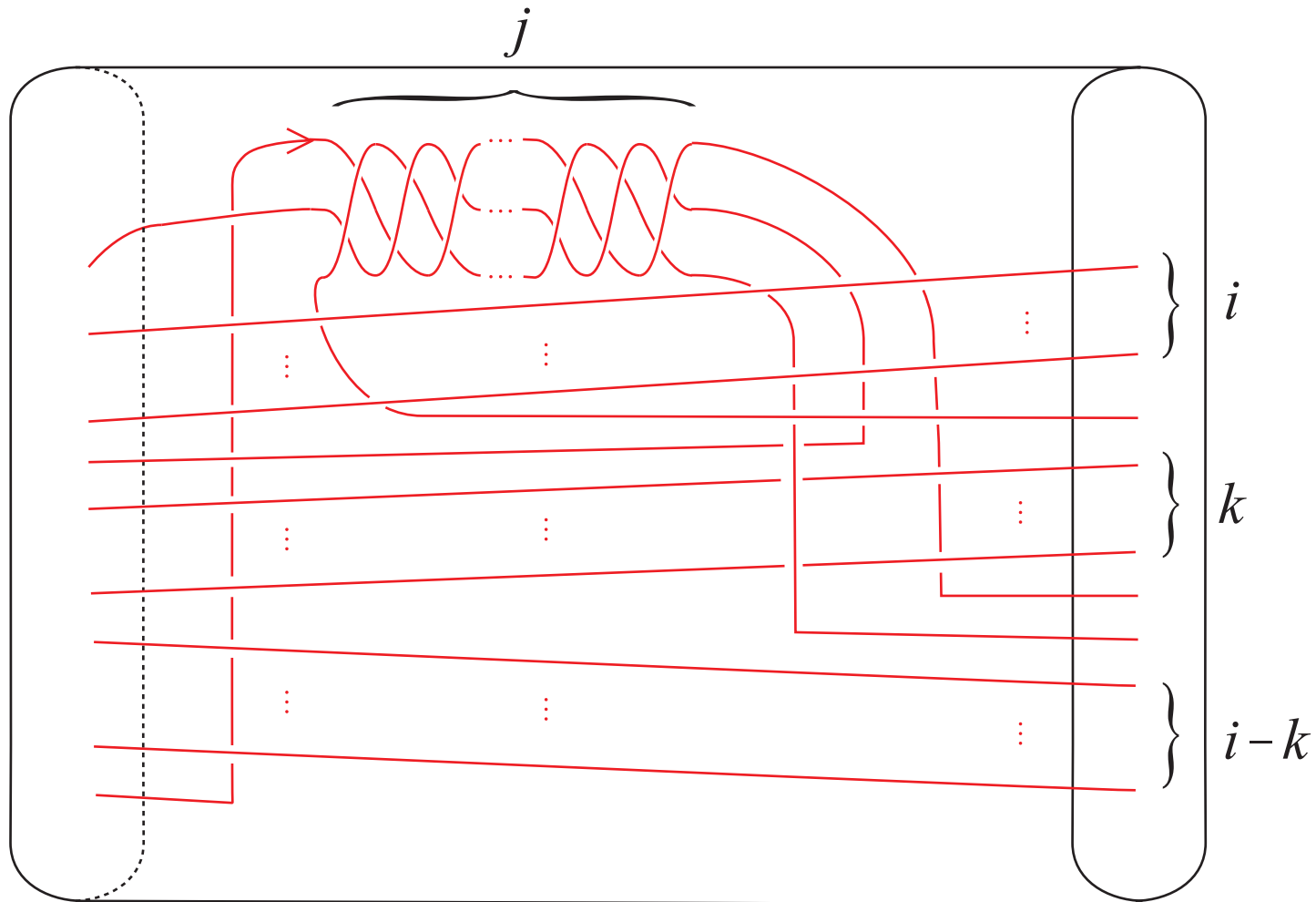
The multiset  $\{f_1(x), f_2(x), f_3(x)\}$  is an ambient isotopy invariant of generalized Kinoshita's theta-curve.

**Example 1.** The multiset of Kinoshita's theta-curve  $\theta(1, 1, 1)$  is

$$\{2x^{-1} + 2x, 2x^{-1} + 2x, 2x^{-1} + 2x\}.$$



$$(i > k > 1, j > 0)$$



We must check some cases:  $k > i > 1, j > 0$ ,  $k > i > 1, j > 0$ , and so on.

**Theorem 1.** If  $i \neq j \neq k$ , the multiset of generalized Kinoshita's theta-curve  $\theta(i, j, k)$  is

$$\left\{ \begin{aligned} &ix^{-k} + ix^{-j} - ix^{j-k} - ix^{k-j} + ix^j + ix^k, \\ &jx^{-i} + jx^{-k} - jx^{k-i} - jx^{i-k} + jx^k + jx^i, \\ &kx^{-j} + kx^{-i} - kx^{i-j} - kx^{j-i} + kx^i + kx^j \end{aligned} \right\}.$$

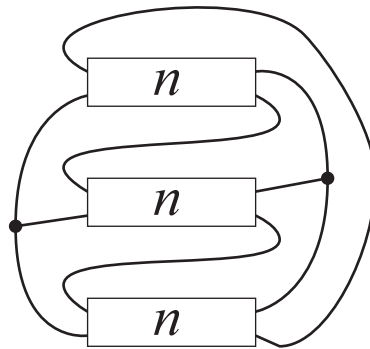
If  $i = j$ , the term  $-kx^{i-j} - kx^{j-i}$  is vanished.

If  $j = k$ , the term  $-ix^{j-k} - ix^{k-j}$  is vanished.

If  $k = i$ , the term  $-jx^{k-i} - jx^{i-k}$  is vanished.

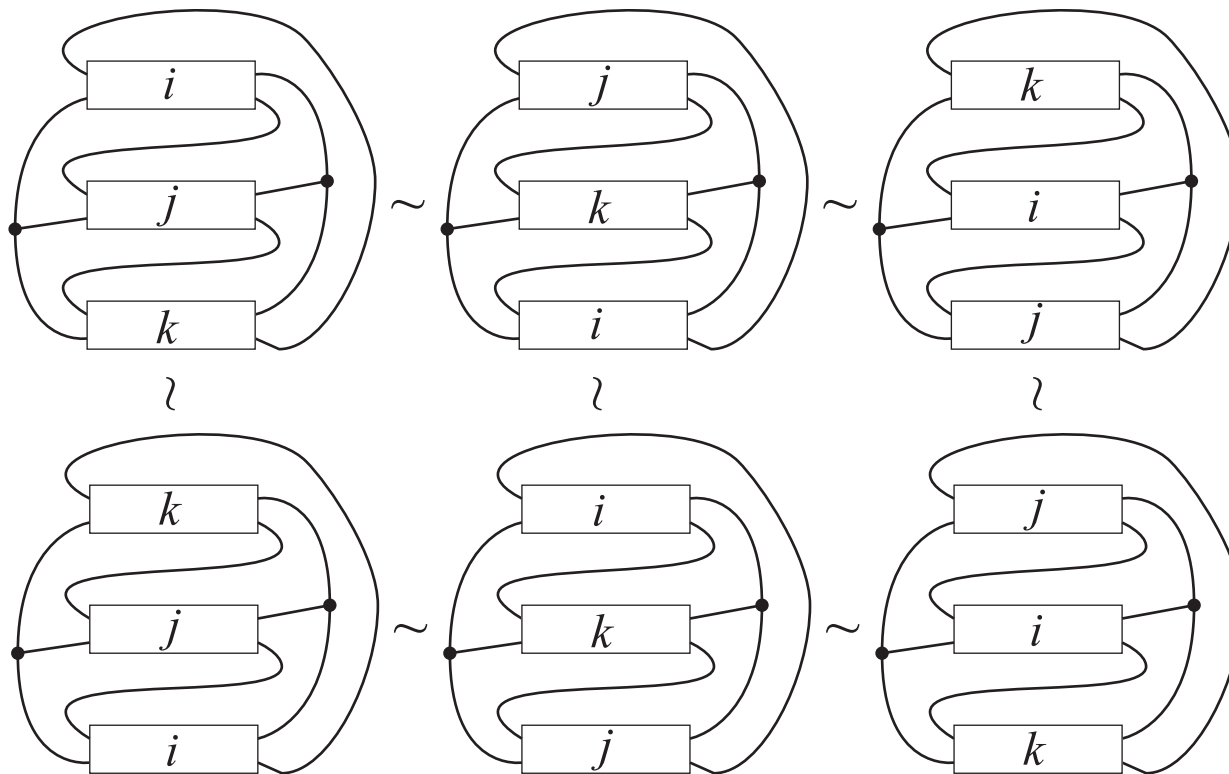
Moreover, the multiset of generalized Kinoshita's theta-curve  $\theta(n, n, n)$  is

$$\{2nx^{-1} + 2nx, 2nx^{-1} + 2nx, 2nx^{-1} + 2nx\}.$$

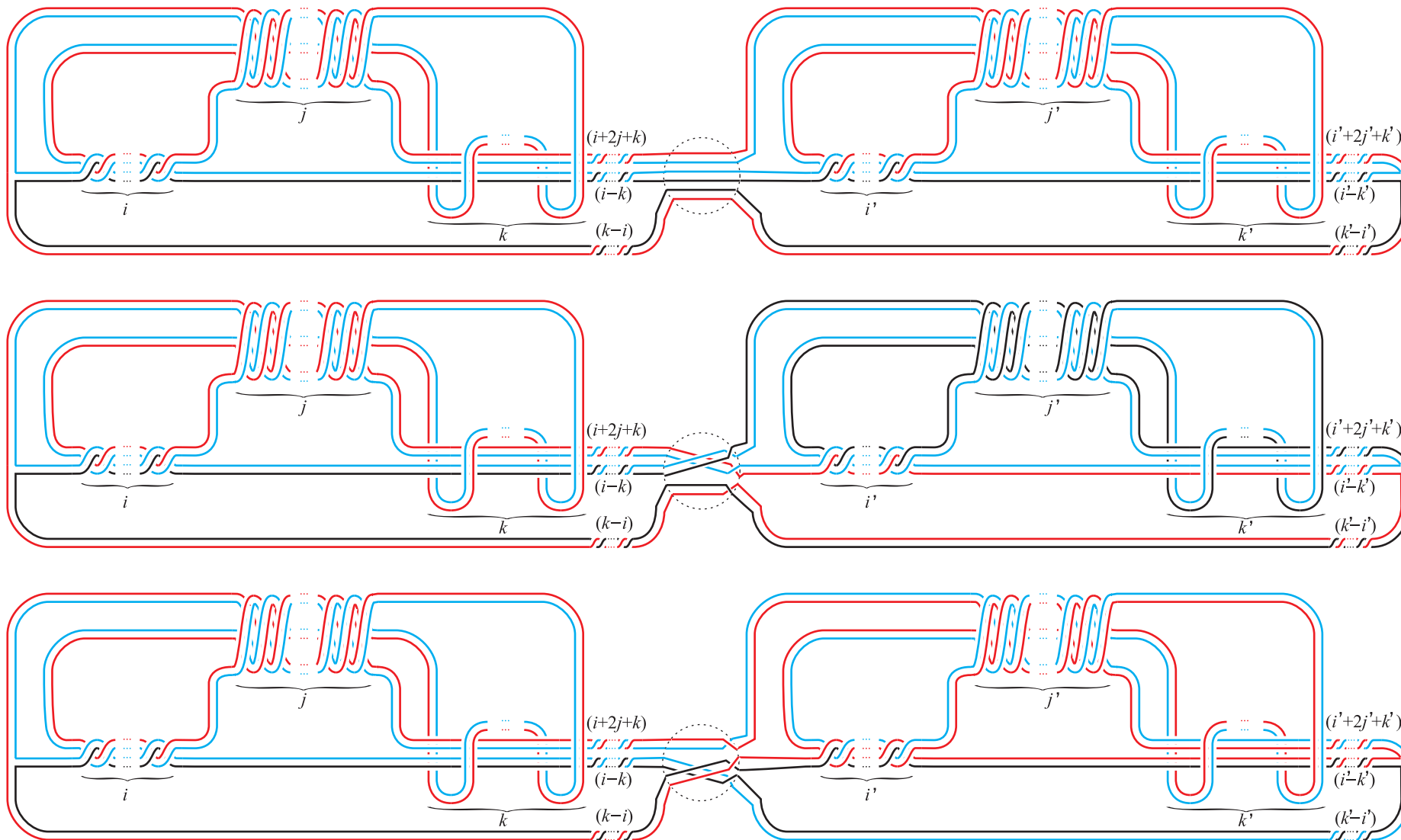


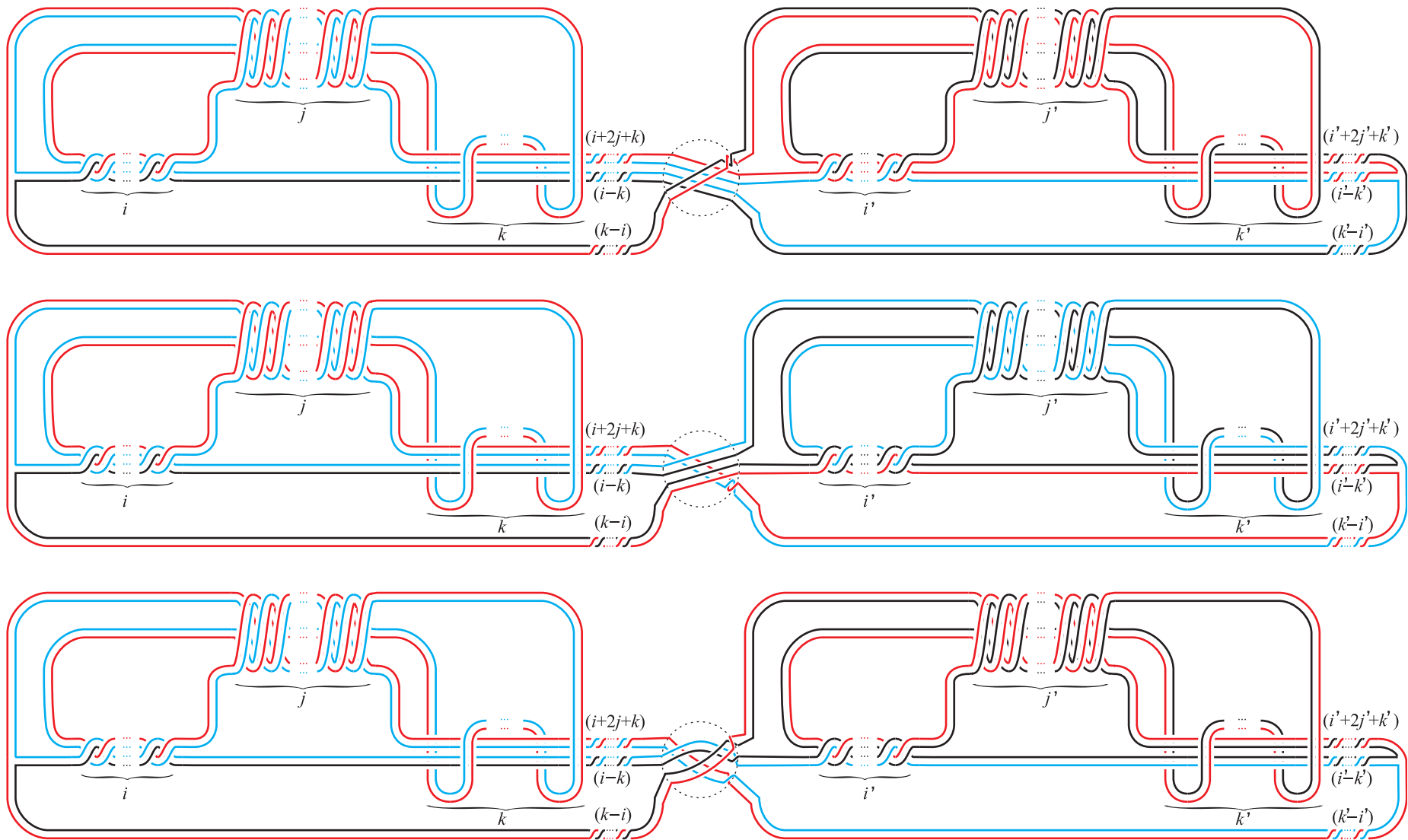
**Corollary.** Generalized Kinoshita's theta-curve  $\theta(i, j, k)$  are mutually distinct up to the following symmetry:

$$\theta(i, j, k) \sim \theta(j, k, i) \sim \theta(k, i, j) \sim \theta(j, i, k) \sim \theta(i, k, j) \sim \theta(k, j, i).$$



To calculate  $f(x)$  of  $\theta(i, j, k) \#_3 \theta(i', j', k')$ , we need to consider six different associated links.

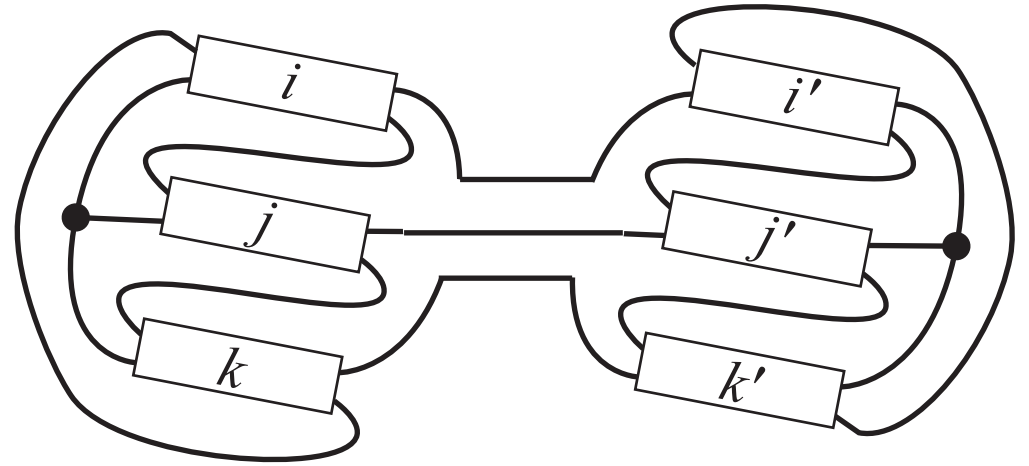




However, we can obtain the multiset of  $\theta(i, j, k) \#_3 \theta(i', j', k')$  by adding each polynomial in good order.



$$\begin{aligned}
& \{ix^{-k} + ix^{-j} - ix^{j-k} - ix^{k-j} + ix^j + ix^k, \\
& \quad jx^{-i} + jx^{-k} - jx^{k-i} - jx^{i-k} + jx^k + jx^i, \\
& \quad kx^{-j} + kx^{-i} - kx^{i-j} - kx^{j-i} + kx^i + kx^j\} \\
& \quad + \\
& \{i'x^{-k'} + i'x^{-j'} - i'x^{j'-k'} - i'x^{k'-j'} + i'x^{j'} + i'x^{k'}, \\
& \quad j'x^{-i'} + j'x^{-k'} - j'x^{k'-i'} - j'x^{i'-k'} + j'x^{k'} + j'x^{i'}, \\
& \quad k'x^{-j'} + k'x^{-i'} - k'x^{i'-j'} - k'x^{j'-i'} + k'x^{i'} + k'x^{j'}\}.
\end{aligned}$$



**Theorem 2.** If  $\underline{i \neq j \neq k}$  and  $\underline{i' \neq j' \neq k'}$ , the multiset of

$\theta(i, j, k) \#_3 \theta(i', j', k')$  is

$$\begin{aligned}
& \left\{ i(x^{-k} + x^{-j} - x^{j-k} - x^{k-j} + x^j + x^k) + i'(x^{-k'} + x^{-j'} - x^{j'-k'} - x^{k'-j'} + x^{j'} + x^{k'}), \right. \\
& \quad j(x^{-i} + x^{-k} - x^{k-i} - x^{i-k} + x^k + x^i) + j'(x^{-i'} + x^{-k'} - x^{k'-i'} - x^{i'-k'} + x^{k'} + x^{i'}), \\
& \quad \left. k(x^{-j} + x^{-i} - x^{i-j} - x^{j-i} + x^i + x^j) + k'(x^{-j'} + x^{-i'} - x^{i'-j'} - x^{j'-i'} + x^{i'} + x^{j'}) \right\}.
\end{aligned}$$

**Example 2.** For  $\theta(1, 2, 3) \#_3 \theta(4, 5, 6)$ , the multiset is

$$\begin{aligned} & \left\{ (x^{-3} + x^{-2} - x^{-1} - x + x^2 + x^3) + 4(x^{-6} + x^{-5} - x^{-1} - x + x^5 + x^6), \right. \\ & \quad (2x^{-1} + 2x^{-3} - 2x^2 - 2x^{-2} + 2x^3 + 2x) + 5(x^{-4} + x^{-6} - x^2 - x^{-2} + x^6 + x^4), \\ & \quad \left. (3x^{-2} + 3x^{-1} - 3x^{-1} - 3x + 3x + 3x^2) + 6(x^{-5} + x^{-4} - x^{-1} - x + x^4 + x^5) \right\} \\ = & \left\{ 4x^{-6} + 4x^{-5} + x^{-3} + x^{-2} - 5x^{-1} - 5x + x^2 + x^3 + 4x^5 + 4x^6, \right. \\ & \quad 5x^{-6} + 5x^{-4} + 2x^{-3} - 7x^{-2} + 2x^{-1} + 2x - 7x^2 + 2x^3 + 5x^4 + 5x^6, \\ & \quad \left. 6x^{-5} + 6x^{-4} + 3x^{-2} - 6x^{-1} - 6x + 3x^2 + 6x^4 + 6x^5 \right\}. \end{aligned}$$

For  $\theta(1, 2, 3) \#_3 \theta(5, 4, 6)$ , the multiset is

$$\begin{aligned} & \left\{ (x^{-3} + x^{-2} - x^{-1} - x + x^2 + x^3) + 5(x^{-6} + x^{-4} - x^{-2} - x^2 + x^4 + x^6), \right. \\ & \quad (2x^{-1} + 2x^{-3} - 2x^2 - 2x^{-2} + 2x^3 + 2x) + 4(x^{-5} + x^{-6} - x - x^{-1} + x^6 + x^5), \\ & \quad \left. (3x^{-2} + 3x^{-1} - 3x^{-1} - 3x + 3x + 3x^2) + 6(x^{-4} + x^{-5} - x - x^{-1} + x^5 + x^4) \right\} \\ = & \left\{ 5x^{-6} + 5x^{-4} + x^{-3} - 4x^{-2} - x^{-1} - x - 4x^2 + x^3 + 5x^4 + 5x^6, \right. \\ & \quad 4x^{-6} + 4x^{-5} + 2x^{-3} - 2x^{-2} - 2x^{-1} - 2x - 2x^2 + 2x^3 + 4x^5 + 4x^6, \\ & \quad \left. 6x^{-5} + 6x^{-4} + 3x^{-2} - 6x^{-1} - 6x + 3x^2 + 6x^4 + 6x^5 \right\}. \end{aligned}$$

Since these sets are different,  $\theta(1, 2, 3) \#_3 \theta(4, 5, 6) \not\sim \theta(1, 2, 3) \#_3 \theta(5, 4, 6)$ .

For nonzero integers  $i, j, k, i', j'$  and  $k'$ , we can classify almost

$\theta(i, j, k) \#_3 \theta(i', j', k')$  by the multiset.

**Remark.** For  $\theta(i, j, k) \#_3 \theta(-i, -j, -k)$ , the multiset is  $\{0, 0, 0\}$ . Therefore, we can not detect the difference between  $\theta(i, j, k) \#_3 \theta(-i, -j, -k)$  and  $\theta(s, t, u) \#_3 \theta(-s, -t, -u)$  for all nonzero integers  $i, j, k, s, t$  and  $u$  by the multiset.

**Example 3.** We can not detect the difference between  $\theta(1, 1, 1) \#_3 \theta(-1, -1, -1)$  and  $\theta(2, 2, 2) \#_3 \theta(-2, -2, -2)$  by the multiset. However, their Yamada polynomials are different. Hence,  $\theta(1, 1, 1) \#_3 \theta(-1, -1, -1)$  is not ambient isotopic to  $\theta(2, 2, 2) \#_3 \theta(-2, -2, -2)$ .