

# Whitney tower concordance and Casson-Gordon style invariants of links

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The 8th EAST Asian school of knots and related topics

## Definition

A (relative) cobordism  $(W^4; M, M')$  ( $\partial W = M \cup_{\partial} -M'$ ) is called *homology cobordism* if  $H_i(M) \cong H_i(W) \cong H_i(M')$  for all  $i$ ,

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## Example

If two links  $L$  and  $L'$  are concordant via concordance  $C$ , then

$$(S^3 \times [0, 1] - \nu(C); S^3 - \nu(L), S^3 - \nu(L'))$$

is homology cobordism.

## Remark

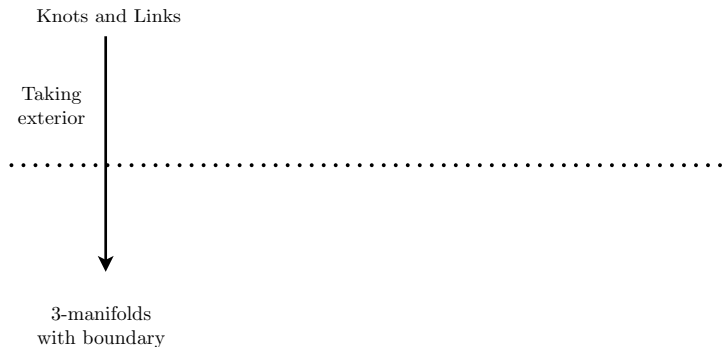
If  $W$  is a *homology cobordism* between exteriors of  $L$  and  $L'$ , with  $\pi_1(W)$  normally generated by meridians, then  $L$  and  $L'$  are (topologically) concordant.

# Whitney concordance and solvable cobordism

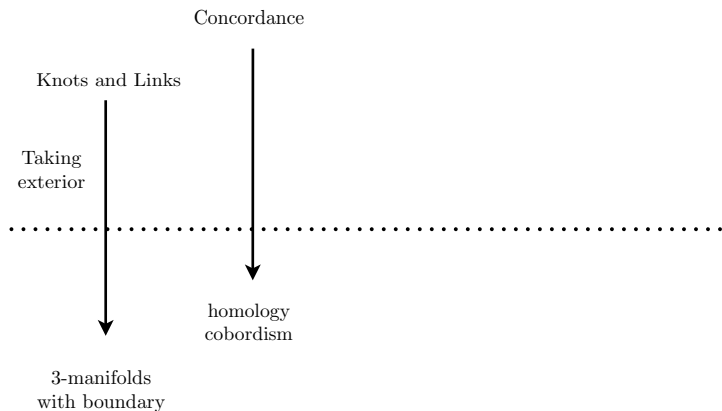
Knots and Links



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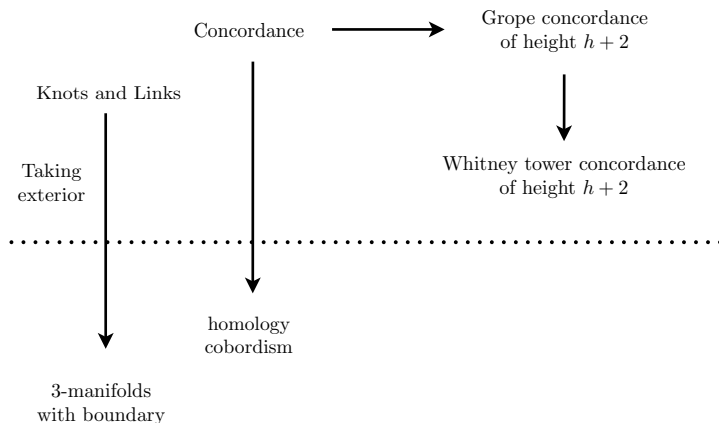


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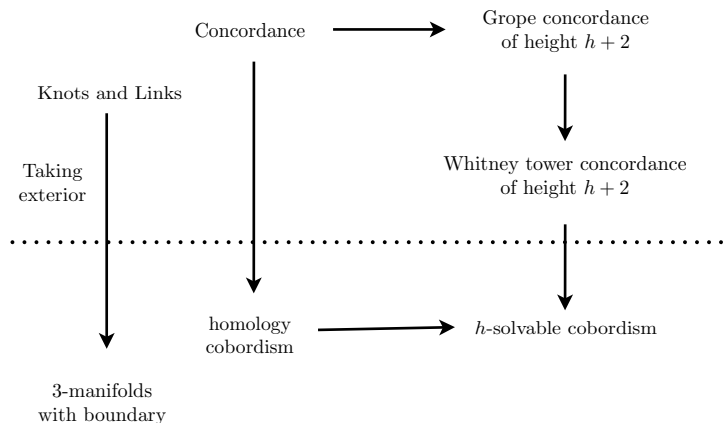




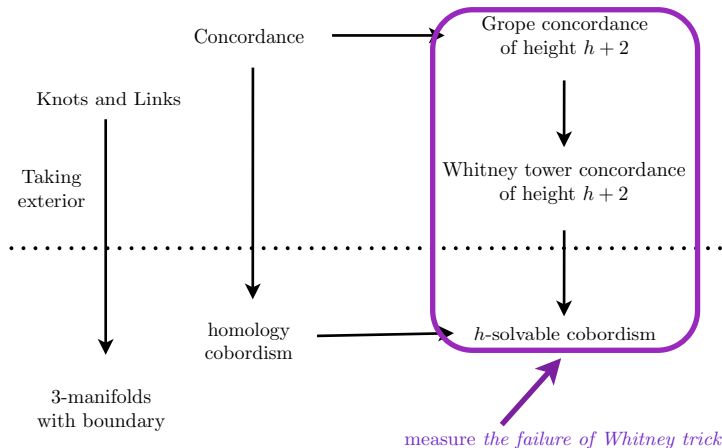
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Let  $(W; M, M')$  be a  $H_1$ -cobordism and  $\pi = \pi_1(W)$  with derived series

$$\pi^{(0)} = \pi, \quad \pi^{(n+1)} = [\pi^{(n)}, \pi^{(n)}].$$

Following C. T. C. Wall,

$$\lambda_n: H_2(W; \mathbb{Z}[\pi/\pi^{(n)}]) \times H_2(W; \mathbb{Z}[\pi/\pi^{(n)}]) \longrightarrow \mathbb{Z}[\pi/\pi^{(n)}],$$

$$\mu_n: H_2(W; \mathbb{Z}[\pi/\pi^{(n)}]) \longrightarrow \mathbb{Z}[\pi/\pi^{(n)}] / \langle g - \bar{g} \rangle$$

## Definition of $n$ -Lagrangians and $k$ -duals for $k \leq n$

Suppose that  $\lambda_0$  has Lagrangian  $\mathcal{L} \subset H_2(W, M)$ ,  $\beta_2(W, M) = 2r$

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1.  $l_1, \dots, l_r \in H_2(W; \mathbb{Z}[\pi/\pi^{(n)}])$  is an  $n$ -Lagrangian if
$$\lambda_n, \mu_n \equiv 0 \text{ on span of } l_1, \dots, l_r$$
and they project onto (the generator of)  $\mathcal{L}$ .

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2. For  $n \geq k$  and  $n$ -Lagrangian  $l_1, \dots, l_r$ ,

$$d_1, \dots, d_r \in H_2(W; \mathbb{Z}[\pi/\pi^{(k)}]) \text{ is a } k\text{-dual}$$

if  $\lambda_k(l_i, d_j) = \delta_{ij}$ .

# Definition of $h$ -solvable cobordism, $h \in \frac{1}{2}\mathbb{N} \cup \{0\}$ .

## Definition (Cha)

Under the above condition,  $(W; M, M')$  is called an

- ▶  $n$ -solvable cobordism if it has  $n$ -Lagrangian with  $n$ -dual.
- ▶  $n.5$ -solvable cobordism if it has  $(n + 1)$ -Lagrangian with  $n$ -dual.



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## Remark

- ▶ From our convention, 1-Lagrangian, 1-dual *live* in second homology of abelian cover.
- ▶ 2-Lagrangian *lives* in second homology of abelian cover of abelian cover.

## Convention

Now  $L$  denotes 2-component link with linking number 1.

$$X_L = S^3 - \nu(L), \Lambda = \mathbb{Z}[\mathbb{Z}^2].$$

# Classical abelian invariants

## Theorem (Kawauchi)

*If  $L$  is concordant to the Hopf link  $H$ , then*

$$\Delta_L(s, t) = f(s, t)f(s^{-1}, t^{-1}), \quad f(s, t) \in \Lambda$$

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## Theorem (K)

*If  $L$  is height 3 Whitney tower concordant to the Hopf link  $H$ , then*

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## Theorem (Hillman)

*If  $L$  is concordant to the Hopf link  $H$ , then the Blanchfield form*

$$b_L: tH_1(X_L; \Lambda) \times tH_1(X_L; \Lambda) \longrightarrow K/\Lambda$$

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# Casson-Gordon type invariant

Theorem (Friedl-Powell '11)

*If  $L$  is concordant to  $H$ , then  $\tau(L, \varphi, \chi) = 0 \in L^0(\mathcal{K}) \otimes \mathbb{Q}$ .*



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# Nontriviality of the result

## Remark (Cha)

For any integer  $n > 2$ , there are links with two unknotted components of linking number 1, which are height  $n$  Whitney tower concordant to  $H$ , but not height  $n.5$  Whitney tower concordant to  $H$ .

## Goal

- ▶ *Give a sketch of the proof for classical abelian invariants.*
- ▶ *Discuss the construction of Friedl-Powell invariants and ideal of the proof.*

# Classical argument

$W = S^3 \times [0, 1] - \nu(C)$ ,  $C$ : concordance between  $L$  and  $H$ .

$$tH_2(W, \partial W; \Lambda) \xrightarrow{\partial} tH_1(\partial W; \Lambda) \xrightarrow{\text{inc}_*} tH_1(W; \Lambda)$$

is exact from the fact:

- ▶  $H_2(W, \partial W; \Lambda) = tH_2(W, \partial W; \Lambda)$

(Here,  $tM$  denotes the  $\Lambda$ -torsion submodule of  $M$ .)

Then,

$$P = \text{Ker}(tH_1(\partial W; \Lambda) \xrightarrow{\text{inc}_*} tH_1(W; \Lambda))$$

satisfies  $P = P^\perp$  for  $b_L$ .

## Lemma (K)

$(W^4; M, M')$ : cobordism, a ring homomorphism  $\mathbb{Z}[\pi_1 W] \rightarrow R$ .  
If  $(W; M, M')$  is  $R$ -coefficient  $H_1$ -cobordism and  $R$  has the quotient field  $K$ , then  $\beta_2^R(W, M) = \chi^R(W, M)$ .

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## Proof of the Lemma.

From the UCSS with  $C_* = C_*(W, M'; R)$ ,

$$E_2^{pq} = \text{Ext}_R^q(H_p(C_*), K) \Rightarrow H^*(C_*; K)$$

$$\text{Ext}_R^q(M, K) = \text{Ext}_K^q(M \otimes_R K, K) = 0 \text{ if } q \geq 1.$$

Hence,  $H^n(W, M'; K) = \text{Hom}_K(H_n(W, M'; R) \otimes_R K, K)$ .

For  $i = 3, 4$ , we have

$$H_i(W, M; K) = H^{4-i}(W, M'; K) = \text{Hom}_K(H_{4-i}(W, M'; R) \otimes_R K, K) = 0$$





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From [COT],  $(W; X_L, X_H)$  is  $K$ -coefficient  $H_1$ -cobordism.

Applying Lemma twice, we have

$$\beta_2^K(W, X_L) = \chi^K(W, X_L) = \chi(W, X_L) = \beta_2(W, X_L).$$

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Let  $l_1, \dots, l_r, d_1, \dots, d_r$  be images of 1-Lagrangians, 1-duals via

$$j: H_2(W; \Lambda) \rightarrow H_2(W, X_L; \Lambda) = H_2(W, \partial W; \Lambda).$$

Note that  $2r = \beta_2(W, X_L) = \beta_2^K(W, X_L)$  and  $j \otimes_{\Lambda} K$  is surjective.

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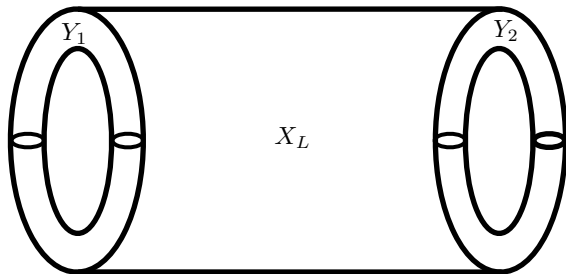
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Note that  $2r = \beta_2(W, X_L) = \beta_2^K(W, X_L)$  and  $j \otimes_{\Lambda} K$  is surjective. Diagram chasing shows

$$tH_2(W, \partial W; \Lambda) \xrightarrow{\partial} tH_1(\partial W; \Lambda) \xrightarrow{\text{inc}_*} tH_1(W; \Lambda)$$

is exact and this completes the proof. □

## Construction of Friedl-Powell invariant



$$Y = Y_1 \cup Y_2, \quad M_L = X_L \cup \partial X_H \times [0, 1] \cup X_H.$$

# Construction of Friedl-Powell invariant

Choose  $\varphi: H_1(M_L) \rightarrow \mathbb{Z}/p^i \oplus \mathbb{Z}/p^j$  for a prime  $p$ .

$$\begin{array}{ccc} H_1(M_L) & \xrightarrow{\varphi} & \mathbb{Z}/p^i \oplus \mathbb{Z}/p^j \\ \text{res} \downarrow & \nearrow \varphi| & \\ H_1(X_L) = \mathbb{Z} \oplus \mathbb{Z} & & \end{array}$$

with  $\varphi|: (1, 0) \mapsto (1, 0)$   
 $(0, 1) \mapsto (0, 1)$

$M_L^\varphi, X_L^\varphi, Y^\varphi$  are  $\mathbb{Z}/p^i \oplus \mathbb{Z}/p^j$ -cover of  $M_L, X_L, Y$  from  $\varphi$ .

# Construction of Friedl-Powell invariant

Choose another prime  $q$  and homomorphisms

$$\psi: H_1(M_L^\varphi) \longrightarrow H_1(M_L) = \mathbb{Z}^3, \quad \chi: H_1(M_L^\varphi) \longrightarrow \mathbb{Z}/q^l$$

$$M_L^\varphi \amalg M_H^\varphi \longrightarrow \mathbb{Z}^3 \times \mathbb{Z}/q^l$$

$$\begin{array}{c} \downarrow \\ \exists W \end{array}$$

$$\partial W = s(M_L^\varphi \amalg -M_H^\varphi) \text{ for some } s \in \mathbb{N}$$

There is a ring homomorphism

$$\alpha: \mathbb{Z}[\mathbb{Z}^3 \times \mathbb{Z}/q^l] \longrightarrow \mathbb{Q}(\xi_{q^l})[\mathbb{Z}^3] \longrightarrow \mathcal{C}(\mathbb{Z}^3) = \mathcal{K}.$$

## Definition (Friedl-Powell)

$$\tau(L, \varphi, \chi) = \frac{1}{s} ([W_{\mathcal{K}}] - [W_{\mathbb{Q}}]) \in L^0(\mathcal{K}) \otimes \mathbb{Q}$$