

Exceptional surgeries on components of two-bridge links

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Case 1
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Next Problem

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The 8th East Asian School of Knots & Related Topics
KAIST, Daejeon, Korea; Jan 12 (CHANGED!), 2012

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1. Introduction

As a consequence of

the Geometrization Conjecture

conjectured by Thurston (late '70s)

including famous Poincaré Conjecture (1904)

Classification of 3-manifolds

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As a consequence of

the Geometrization Conjecture

conjectured by Thurston (late '70s)

including famous Poincaré Conjecture (1904)

established by Perelman (2002-03)

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Classification of 3-manifolds

As a consequence of

the Geometrization Conjecture

conjectured by Thurston (late '70s)

including famous Poincaré Conjecture (1904)

established by Perelman (2002-03)

Every closed orientable 3-manifold is;

- Reducible (containing essential S^2)
- Toroidal (containing essential torus)
- Seifert fibered (foliated by circles)
- Hyperbolic (\exists Riem. metric of curv. -1)

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What's the NEXT?

- Attack the remaining **Open Problems**.
(e.g., Virtually Haken Conjecture ...)

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- Attack the remaining **Open Problems**.
(e.g., Virtually Haken Conjecture ...)
- Relate **Geometric & Topological** invariants
(e.g., Volume conjecture ...)

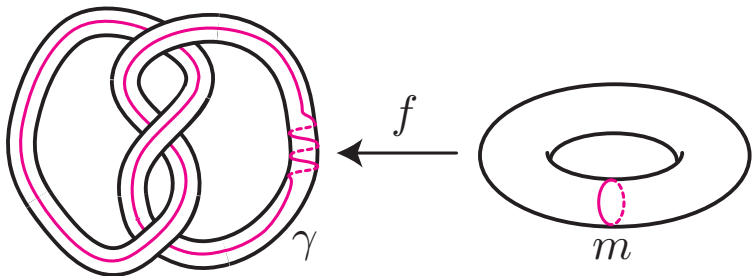
- Attack the remaining **Open Problems**.
(e.g., Virtually Haken Conjecture ...)
- Relate **Geometric & Topological** invariants
(e.g., Volume conjecture ...)
- Study the **Relationships** between 3-mfds.
(e.g., **Dehn surgery** ...)
(↑ **Today!**)

Dehn surgery on link

$E(L)$: the exterior of a link L in a 3-mfd M
(i.e., $M - (\text{open tubular nbd of } L)$)

$E(L)$: the exterior of a link L in a 3-mfd M
(i.e., $M - (\text{open tubular nbd of } L)$)

Gluing solid torus V to $E(L)$ along slope γ



Dehn surgery vs Classification

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Experimentally we can see that

Dehn surgery vs Classification

Experimentally we can see that

Observation

The types of $E(L)$ and of the surgered mfd.
agree generically.

Motivation for studying Dehn surgery

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a **hyperbolic** link $:= M - L$ is hyperbolic

Hyperbolic Dehn Surgery Theorem [Thurston]

On each component of a hyperbolic link,
all but only finitely many surgeries give
hyperbolic 3-manifolds.

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a **hyperbolic** link $:= M - L$ is hyperbolic

Hyperbolic Dehn Surgery Theorem [Thurston]

On each component of a hyperbolic link,
all but only finitely many surgeries give
hyperbolic 3-manifolds.

Exceptional surgery $:=$

Dehn surgeries on a **hyperbolic** link
giving non-hyperbolic mfd

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Ultimate Goal

Completely classify the exceptional surgeries on hyperbolic **links** in the 3-sphere S^3 .

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Completely classify the exceptional surgeries on hyperbolic **links** in the 3-sphere S^3 .

Problem

Completely classify the exceptional surgeries on hyperbolic **2-bridge links** in the 3-sphere S^3 .

Exceptional surgery

Ultimate Goal

Completely classify the exceptional surgeries on hyperbolic **links** in the 3-sphere S^3 .

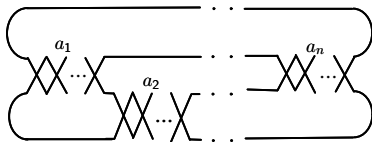
Problem

Completely classify the exceptional surgeries on hyperbolic **2-bridge links** in the 3-sphere S^3 .

Today's Goal

Completely classify the exceptional surgeries on a **component** of hyperbolic **2-bridge links** in S^3 .

A 2-component link admitting a diagram
with **two** maxima and minima.



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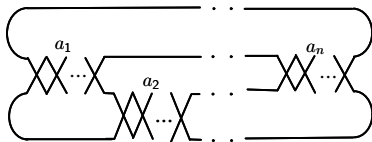
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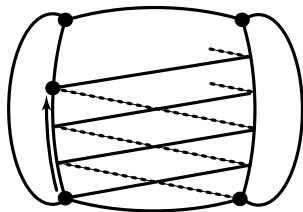
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A 2-component link admitting a diagram with **two** maxima and minima.



2-bridge links are parametrized by rational numbers \mathbb{Q}



We denote by $L_{p/q}$ the link with p/q .

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2. Known Facts

Known facts (2-bridge knots)

Brittenham-Wu (2001)

Exceptional surgeries on 2-bridge **knots**
are completely classified.

Brittenham-Wu (2001)

Exceptional surgeries on 2-bridge **knots**
are completely classified.

For example, they showed that
only knots $K_{[b_1, b_2]}$ have exceptional surgeries.

Notation:

$p/q = [a_1, a_2, \dots, a_n]$, continued fraction

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Let M_L be a 3-mfd obtained by a Dehn surgery on a component of a 2-bridge link L .

Let M_L be a 3-mfd obtained by a Dehn surgery on a component of a 2-bridge link L .

Theorem [Wu (1999)]

If M_L contains an essential disk, annulus, or 2-sphere, then L is equivalent to $L_{[b_1, b_2]}$.

Known facts (2-bridge links)

Note that M_L can be regarded as
a **knot complement** in some lens space.

Note that M_L can be regarded as
a **knot complement** in some lens space.

Theorem [Goda-Hayashi-Song (2009)]

The following are obtained:

A complete classification of L for which
 M_L is a non-trivial, non-core torus knot exterior
or a cable knot exterior.

A necessary condition of L for which
 M_L is a prime satellite knot exterior.

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3. Result

Notation (surgery slope)

Let L be a 2-bridge link.

$L(r)$ denotes the manifold obtained by
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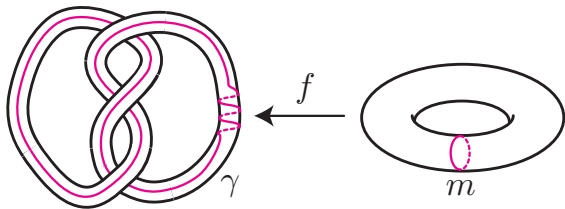
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Next Problem

Let L be a 2-bridge link.

$L(r)$ denotes the manifold obtained by
Dehn surgery on a compo. of L along slope r ;
i.e., the slope given by $[f(\text{meridian of } V)]$
corresponds to $r \in \mathbb{Q}$.



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Note: $L(r)$ is a 3-mfd. with torus boundary.

Classification

If $L(r)$ is non-hyperbolic, then it contains an essential

- disk D
- annulus A
- sphere S
- torus T

Theorem [I. (arXiv:1107.0452)]

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Theorem (toroidal)

Theorem [I. (arXiv:1107.0452)]

$L(r)$ contains neither essential D nor S .

Theorem [I. (arXiv:1107.0452)]

$L(r)$ contains neither essential D nor S .

$L(r)$ contains an **essential torus** if and only if

$$L \cong L_{[2w,v,2u]} \quad \& \quad r = -w - u$$

- with
- 1 $w = 1, u = -1, |v| \geq 2$
 - 2 $w \geq 2, |u| \geq 2, |v| = 1$
 - 3 $w \geq 2, |u| \geq 2, |v| \geq 2$

Theorem [I. (arXiv:1107.0452)]

$L(r)$ contains neither essential D nor S .

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 - 2 $w \geq 2, |u| \geq 2, |v| = 1$
 - 3 $w \geq 2, |u| \geq 2, |v| \geq 2$

In all the cases, $L(r)$ is never SFS, and
 $L(r)$ is a **graph mfd** if and only if

u, v, w satisfy 1st & 2nd conditions.

Theorem (continued)

If $L(r)$ contains an essential annulus,
but contains no essential tori,
then $L(r)$ is a **Seifert fibered space**.

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Theorem (continued)

If $L(r)$ contains an essential annulus,
but contains no essential tori,
then $L(r)$ is a **Seifert fibered space**.
 $L(r)$ is a **Seifert fibered space** if and only if

- 1 $L \cong L_{[3,2u+1]} \ \& \ r = u$
- 2 $L \cong L_{[2w+1,3]} \ \& \ r = -w - 1$
- 3 $L \cong L_{[3,-3]} \ \& \ r = -1$
- 4 $L \cong L_{[2w+1,2u+1]} \ \& \ r = -w + u$

for $w \geq 1, u \neq 0, -1$.

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$L_{p/q}(r)$ is non-hyperbolic

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$L_{p/q}(r)$ is **non-hyperbolic**



$L_{p/q}(r)$ contains
an essential disk, sphere, annulus or torus.

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$L_{p/q}(r)$ is **non-hyperbolic**



$L_{p/q}(r)$ contains
an essential disk, sphere, annulus or torus.



$E(L_{p/q})$ contains
an essential properly embedded surface F
with non-empty boundary of genus $g \leq 1$

Case 1: F is meridionally incompressible

Case 1A: $\partial F \cap \partial N(K_2) = \emptyset$

Case 1Aa: $|v| = 1$

Case 1Ab: $|v| \neq 1$

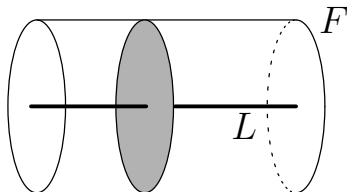
Case 1B: $\partial F \cap \partial N(K_2) \neq \emptyset$

Case 1Ba: $r_2 \neq 1/0$

Case 1Bb: $r_2 = 1/0$

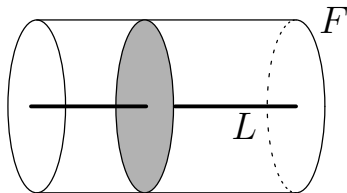
Case 2: F is meridionally compressible

Case 1: F is meridionally incompressible



No such meridionally compressing disk

Case 1: F is meridionally incompressible



No such meridionally compressing disk

Meridionally incompressible essential surfaces
in 2-bridge link exteriors are classified by

[Floyd-Hatcher, 1988].

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Next Problem

Set $L = K_1 \cup K_2$, and

$L(r)$ is obtained by r -surgery on K_1 .

Let F be an essential surface in $E(L_{p/q})$.

Set $L = K_1 \cup K_2$, and

$L(r)$ is obtained by r -surgery on K_1 .

Let F be an essential surface in $E(L_{p/q})$.

$$\text{Case 1A: } \partial F \cap \partial N(K_2) = \emptyset$$

Then, by [FH + GHS(Lem12.1)], we have

$$g = 1, \quad L \cong L_{[2w, v, 2u]}, \quad r = -w - u$$

for $w \geq 1, u, v \neq 0$.

Case 1Aa: $|v| = 1$

The following are shown in [GHS, Section 11].

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Case 1Aa: $|v| = 1$

The following are shown in [GHS, Section 11].

Only when $L \cong L_{[2w, \pm 1, 2u]}$
with $w \geq 2$ & $u \neq 0, -1, -2$,

$L(-w - u)$ contains an essential torus,
and actually is a **graph manifold**.

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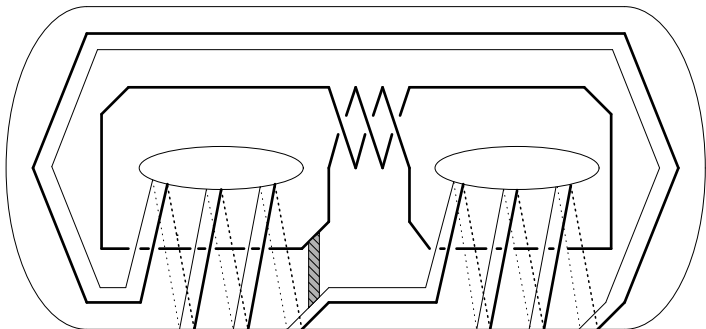
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Case 1A (continued)

Case 1Ab: $|v| \neq 1$

Case 1Ab: $|v| \neq 1$

$L_{[2w, v, 2u]}(-w - u)$ contains an essential torus,
and is not a graph mfd. (c.f. [Wu] & [GHS])



Case 1B: $\partial F \cap \partial N(K_2) \neq \emptyset$

$$\partial F \cap \partial N(K_2) \neq \emptyset$$

$$\Rightarrow L(r) \not\supset S, T \Rightarrow L(r) \supset D, A$$

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Case 1B: $\partial F \cap \partial N(K_2) \neq \emptyset$

$$\partial F \cap \partial N(K_2) \neq \emptyset$$

$$\Rightarrow L(r) \not\supset S, T \Rightarrow L(r) \supset D, A$$

$L(r) \not\supset D$ (by [Miyazaki-Motegi])

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Case 1B: $\partial F \cap \partial N(K_2) \neq \emptyset$

$$\begin{aligned} \partial F \cap \partial N(K_2) \neq \emptyset \\ \Rightarrow L(r) \not\supset S, T \Rightarrow L(r) \supset D, A \end{aligned}$$

$L(r) \not\supset D$ (by [Miyazaki-Motegi])

r_2 : the slope of ∂F on $\partial N(K_2)$.

Case 1Ba: $r_2 \neq 1/0$

Case 1B: $\partial F \cap \partial N(K_2) \neq \emptyset$

$$\partial F \cap \partial N(K_2) \neq \emptyset \\ \Rightarrow L(r) \not\supset S, T \Rightarrow L(r) \supset D, A$$

$L(r) \not\supset D$ (by [Miyazaki-Motegi])

r_2 : the slope of ∂F on $\partial N(K_2)$.

Case 1Ba: $r_2 \neq 1/0$

$L(r)$ is a SFS (i.e., $L(r) \supset A, \not\supset T$)

if and only if the conditions are satisfied
described in Theorem (SFS)

by [Wu+GHS(Thm11.1)].

Case 1Bb: $r_2 = 1/0$

$$L(r) \supset D, A$$

$$\Rightarrow g = 0$$

$$\& |\partial F \cap \partial N(K_2)| \leq 2$$

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Case 1Bb: $r_2 = 1/0$

$$L(r) \supset D, A$$

$$\Rightarrow g = 0$$

$$\& |\partial F \cap \partial N(K_2)| \leq 2$$

Lemma

$E(L)$ contains an meri. incomp. ess. surface F
with $g = 0$, $|\partial F \cap \partial N(K_2)| \leq 2$, $r_2 = 1/0$

iff $L \cong L_{[2, n, -2]} \& \partial\text{-slope } 0 \text{ on } \partial N(K_1)$

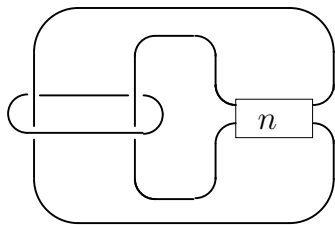
with $|n| \geq 2$, $\& F$: an ess. 2 punctured disk.

Case 1Bb: $r_2 = 1/0$

$$L(r) \supset D, A$$

$$\Rightarrow g = 0$$

$$\& |\partial F \cap \partial N(K_2)| \leq 2$$



Lemma

$E(L)$ contains an meri. incomp. ess. surface F
with $g = 0$, $|\partial F \cap \partial N(K_2)| \leq 2$, $r_2 = 1/0$

iff $L \cong L_{[2, n, -2]}$ & ∂ -slope 0 on $\partial N(K_1)$

with $|n| \geq 2$, & F : an ess. 2 punctured disk.

Case 2: F is meridionally compressible

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Case 2: F is meridionally compressible

Perform meridional compressions as possible

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Case 2: F is meridionally compressible

Perform meridional compressions as possible

Claim

$E(L) \supset$ meri. incomp. ess. surf. F with $g = 0$
 $|\partial F \cap \partial N(K_2)| \leq 2$, ∂ -slope $1/0$ on $\partial N(K_2)$

Case 2: F is meridionally compressible

Perform meridional compressions as possible

Claim

$E(L) \supset$ meri. incomp. ess. surf. F with $g = 0$
 $|\partial F \cap \partial N(K_2)| \leq 2$, ∂ -slope $1/0$ on $\partial N(K_2)$

\Downarrow (by Lemma)

$L \cong L_{[2,n,-2]}$ & ∂ -slope 0 on $\partial N(K_1)$

with $|n| \geq 2$, & F : an ess. 2 punctured disk.

□ (Theorem)

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Problem

Completely classify the exceptional surgeries on hyperbolic **2-bridge links** in the 3-sphere S^3 .

Problem

Completely classify the exceptional surgeries on hyperbolic **2-bridge links** in the 3-sphere S^3 .

We have a potential approach to attack;
via **3 steps** as follows:

1) Determine **Toroidal** surgeries

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1) Determine **Toroidal** surgeries

Floyd-Hatcher machinery can be used:

W. Floyd and A. Hatcher,
The space of incompressible surfaces in a 2-bridge link complement,
Trans. Amer. Math. Soc. **305** (1988), no.2, 575–599.

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1) Determine **Toroidal** surgeries

Floyd-Hatcher machinery can be used:

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It can **enumerate** all essential surfaces
in 2-bridge link exterior,

1) Determine **Toroidal** surgeries

Floyd-Hatcher machinery can be used:

W. Floyd and A. Hatcher,
The space of incompressible surfaces in a 2-bridge link complement,
Trans. Amer. Math. Soc. **305** (1988), no.2, 575–599.

It can **enumerate** all essential surfaces
in 2-bridge link exterior,
but is much complicated, and
the task seems technically very hard...

2) Give restrictions for Seifert surgeries

Y.-Q. Wu,
Dehn surgery on arborescent links,
Trans. Amer. Math. Soc., **351** (1999), no.6, 2275–2294.

2) Give restrictions for Seifert surgeries

Y.-Q. Wu,
Dehn surgery on arborescent links,
Trans. Amer. Math. Soc., **351** (1999), no.6, 2275–2294.

Wu constructed persistent laminations
in 2-bridge link exterior of length ≥ 3 .

They or their refinements could show
absence of Seifert surgeries on
2-bridge link exterior of length ≥ 3 .

3) Classify exceptional surgeries on 2-bridge links of length two

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3) Classify exceptional surgeries on 2-bridge links of length two

The computer-aided search given in

B. Martelli, C. Petronio and F. Roukema,
*Exceptional Dehn surgery on the minimally twisted five-
chain link*
preprint, arXiv:1109.0903v1

could give such a classification,

3) Classify exceptional surgeries on 2-bridge links of length two

The computer-aided search given in

B. Martelli, C. Petronio and F. Roukema,
*Exceptional Dehn surgery on the minimally twisted five-
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could give such a classification,
since exceptional surgeries
on 2-bridge links of length two
is induced from those on the 4-chain link.

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Thank you !!