

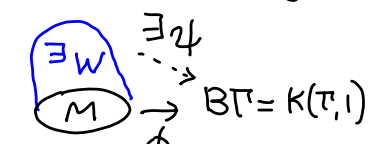
# New Hirzebruch-type Invariants from Iterated p-Covers

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## A Hirzebruch-type invariant

Given  $\left. \begin{array}{l} M^3 \text{ closed} \\ \phi: \pi_1 M \rightarrow \Gamma \\ \mathbb{Z}\Gamma \rightarrow \mathcal{K} = (\text{skew}) \text{ field} \\ \text{char} = 0 \end{array} \right\}$ , suppose  $(M, \phi) = 0$  in  $\Omega_3^{\text{top}}(B\Gamma)$   
 i.e.,  $\exists W \xrightarrow{\exists \psi} B\Gamma = K(\Gamma, 1)$   


Define  $\lambda(M, \phi) = [\lambda_W^{\mathcal{K}}] - [\lambda_W^{\mathcal{Q}}] \in L^0(\mathcal{K}) = \text{Witt group over } \mathcal{K}$   
 $\lambda_W^{\mathcal{K}}$  is  $\mathcal{K}$ -coefficient intersection form  
 $\lambda_W^{\mathcal{Q}}$  is ordinary intersection form

c.f. Signature defects due to Hirzebruch, Atiyah, Patodi, Singer, ...

Proposition:  $\lambda(M, \phi)$  is indep. of  $W$  if  $H_4(\Gamma) = 0$

## Well-definedness (independence of $W$ )

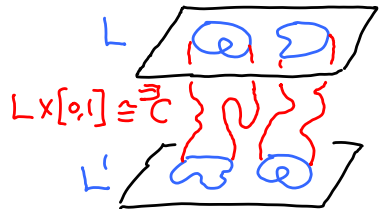
Given  $\left. \begin{array}{l} W \\ M \\ W' \end{array} \right\} \rightarrow B\Gamma$ , need to check:  $[\lambda_W^{\mathcal{K}}] - [\lambda_W^{\mathcal{Q}}] = [\lambda_{W'}^{\mathcal{K}}] - [\lambda_{W'}^{\mathcal{Q}}]$   
 i.e. for  $V = W \cup W'$ ,  $[\lambda_V^{\mathcal{K}}] = [\lambda_V^{\mathcal{Q}}]$ ?

An Atiyah-type Lemma:  $\left. \begin{array}{l} V \text{ closed} \\ H_4(\Gamma) = 0 \end{array} \right\} \Rightarrow [\lambda_V^{\mathcal{K}}] = [\lambda_V^{\mathcal{Q}}]$


c.f. Signature theorems of Atiyah, Singer, Patodi, ...  
 from "index theory": (twisted signature) = (signature)

Our main example:  $\Gamma = \mathbb{Z}_d$ ,  $\mathbb{Z}\Gamma \rightarrow \mathcal{K} = \mathbb{Q}(\zeta_d)$ .  $\zeta_d = \exp(\frac{2\pi i}{d})$   
 $\rightsquigarrow L^0(\mathbb{Q}(\zeta_d))$  is NOT torsion free!

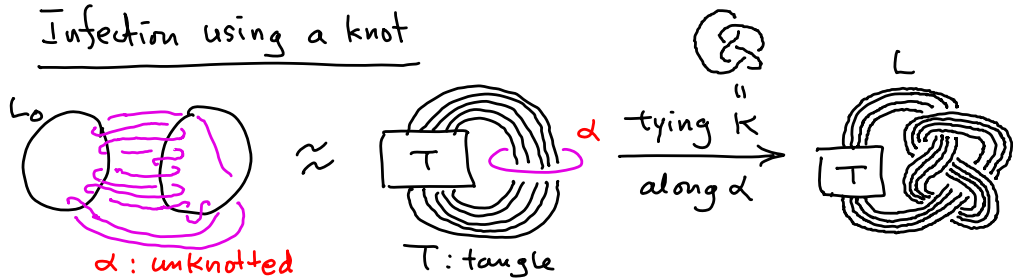
## Link Concordance and Homology cobordism

$L, L' \subseteq S^3$  are concordant  $\iff$    $S^3 \times \{0\}$   
 $S^3 \times [0,1]$   
 $S^3 \times \{1\}$   
 $L$  is slice  $\iff L \sim^{\text{conc.}} \text{unlink}$

$S^3$    $\xrightarrow{\text{0-framed surgery}}$    $M_L := \text{"surgery manifold" of } L$

Fact:  $L, L'$  are concordant  $\iff M_L, M_{L'}$  are homology cobordant  


## Infection using a knot



Question: How can we detect the effect of infection along  $\alpha \in \pi_1(S^3 - L_0)^{(n)} - \pi_1(S^3 - L_0)^{(n+1)}$  for higher  $n$ ?

Remarkable results on concordance have been proved by:

Casson, Gordon, Gilmer, B Jiang, Livingston, ... ( $n=1$ : CG-invariant)  
Cochran, Orr, Teichner, Harvey, T Kim, Leidy, ... ( $n>1$ :  $L^2$ -signature)

Any new technique beyond  $L^2$ -signatures?

e.g.  $K$  is "torsion"  $\Rightarrow$  no known signatures detect infection for  $n>1$

## Invariants from $p$ -towers ( $p$ : prime)

$M_n \rightarrow \dots \rightarrow M_1 \rightarrow M_0 = M$  tower of abelian  $p$ -covers  
 $\phi: \pi_1(M_n) \rightarrow \mathbb{Z}_d$  ( $d=p^a$ ) character

Given a  $p$ -structure  $\mathcal{J} = (\{M_i\}, \phi)$ ,  $\lambda(M_n, \phi)$  is defined.

Remark: (1) For any (nonabelian)  $p$ -cover  $\tilde{M}$  of  $M$ ,  $\exists \{M_i\}$  s.t.  $M_n = \tilde{M}$ .  
(2)  $M_n$  can be an irregular cover of  $M$ .

Alternative description:  $p$ -virtual character of  $\pi_1(M)$

$\phi: H \rightarrow \mathbb{Z}_d$ ,  $[G; H] = p^a \Rightarrow \exists p$ -tower  $\{M_i\}$   
 $\overset{\Gamma}{\Gamma} = \pi_1(M)$  s.t.  $\pi_1(M_n) = H \subseteq \pi_1(M)$   
 $\Rightarrow \lambda(M_n, \phi) \in L^0(\mathbb{Q}(\mathbb{Z}_d))$

Theorem Suppose  $M$  and  $M'$  are homology cobordant. Then:

(1)  $\{p\text{-structures for } M\} \overset{1-1}{\approx} \{p\text{-structures for } M'\}$   
 $(\{M_i\}, \phi) \leftrightarrow (\{M'_i\}, \phi')$

(2)  $(M_n, \phi) = 0 \Leftrightarrow (M'_n, \phi') = 0$  in  $\Omega_3^{\text{top}}(\text{BT})$

If it is the case,  $\lambda(M_n, \phi) = \lambda(M'_n, \phi')$  in  $L^0(\mathbb{Q}(\mathbb{Z}_d))$

Corollary  $M = \text{surgery mfd of a slice link } L \Rightarrow \lambda(M_n, \phi) = 0$



## Advantages of our invariants

- (1) It extracts geometric information from  $\pi_1(M)^{(n)}$  for higher  $n$ .
- (2) It detects "torsion", as well as elts of  $\infty$  order elts.

signature  $\rightarrow L^0(\mathbb{C}) \cong \mathbb{Z}$   
discriminant  $\rightarrow \frac{\mathbb{Q}(\mathbb{Z}_d + \mathbb{Z}_d^{-1})^*}{\{z \in \mathbb{Q}(\mathbb{Z}_d)^* \mid z \bar{z} = 1\}}$   $\xrightarrow{(-, D)_g} \mathbb{Z}_2$   
 $\text{norm residue symbol}$   
(Artin reciprocity)  
 $\text{dis } \lambda \stackrel{\text{def}}{=} (-1)^{\frac{r(r+1)}{2}} \det(\lambda)$

- (3) In many interesting cases,  $\lambda(M_n, \phi)$  can be computed via a combinatorial algorithm on graphs.

## "Exotic" homology cobordism classes of rational 3-spheres

Theorem:  $\exists$  rational homology 3-spheres  $M_0, M_1, M_2, \dots$  with the following properties:

- (0)  $\exists$  homology equivalence  $M_i \rightarrow M_0$  for all  $i$ .
- (1) Known homology cobordism invariants vanish for  $M_i$ :
  - Wall multi-signatures [Gilmer-Livingston]
  - Atiyah-Patodi-Singer  $\eta$ -invariants [Levine]
  - Cheeger-Gromov  $L^2$ -signatures [Harvey]
- (2) For  $i \neq j$ ,  $M_i$  and  $M_j$  are not homology cobordant.

Choose  $p/q$  s.t.  $L(p, q)$  bounds a rational 4-ball, and let

$$M_i = \left( \begin{array}{c} p/q \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ p/q \end{array} \right) \text{ infected by } K_i = \left[ \begin{array}{c} -a_i \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ a_i \end{array} \right] \leftarrow \text{full twists} \\ \text{(along } \alpha \text{)} \quad \text{(2-torsion)}$$

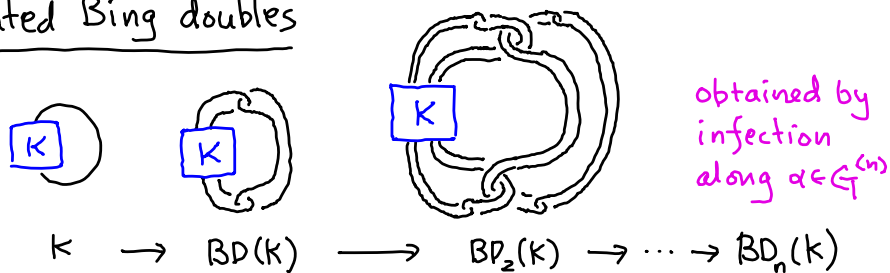
$\left. \begin{array}{l} L(p, q) \text{ has vanishing signatures} \\ K_i \text{ is torsion (signature} = 0 \text{)} \end{array} \right\} \Rightarrow$  Signatures vanish for  $M_i$

Using algebraic number theory, we construct  $\{a_i\}$  together with "dual primes"  $\{q_i\}$  s.t.

$$\left( \begin{array}{l} \text{norm residue symbol of} \\ \text{discriminant of } M_i \text{ w.r.t. } q_j \end{array} \right) = \begin{cases} 1 & \text{if } i=j \\ 0 & \text{if } i \neq j \end{cases}$$

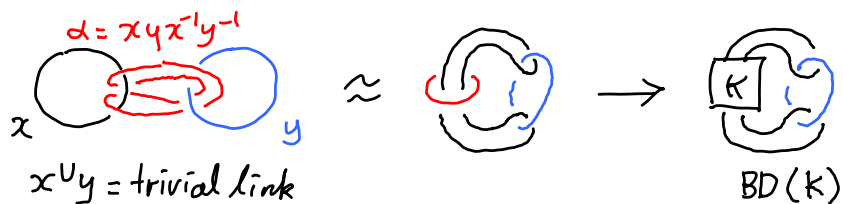
$\Rightarrow \{M_i\}$  realizes  $\mathbb{Z}^\infty \subseteq L^0(\mathbb{Q}(S_d))$

## Iterated Bing doubles

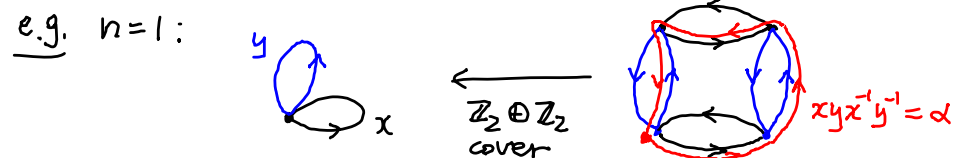


Question: When is  $BD_n(K)$  slice? (Many known invariants vanish!)

Difficulty: the complication is invisible on "abelianization"



But, the infection curve  $\alpha$  survives in  $H_1$  (iterated  $p$ -covers):



This enables us to detect non-slice iterated Bing doubles using our results:

Theorem If the Levine-Tristram signature  $\sigma_K: S^1 \rightarrow \mathbb{Z}$  is nontrivial, then  $BD_n(K)$  is not slice for any  $n$ .

$\left( \Rightarrow \text{[Harvey, Teichner]} \text{ If } \int_{S^1} \sigma_K \neq 0, \text{ then } BD_n(K) \text{ is not slice} \right)$   
(The proof uses  $L^2$ -signatures)

## 2-torsion

$L$  is called **2-torsion** if  $L \# L$  is slice ( $\Rightarrow L$  is conc. to  $-L$ )

e.g.  $K = 4_1 =$    $\Rightarrow K \approx -K \Rightarrow K$  is 2-torsion (amphichiral)

Facts: (1)  $K$  2-torsion  $\Rightarrow BD_n(K)$  2-torsion



(2) Known (signature) invariants fail to distinguish  $BD_n(K)$  from a slice link when  $K$  is torsion

Question [Teichner, Schneidermann, Cimasoni, Friedl, Cochran, ...]

Is  $BD(4_1)$  a slice link?

Theorem There are infinitely many amphichiral  $K$  (including  $4_1$ ) s.t.  $BD_n(K)$  is not slice for all  $n$ .

## Cochran-Orr-Teichner's solvable filtration

$L$  is  $(n)$ -solvable  $\Leftrightarrow \exists$   whose  $Z[\frac{\pi_1 W}{\pi_1 W^{(n)}}]$ -coeff. duality "looks like"  slice disk exterior

COT filtration:  $\{ (n)\text{-solv. links} \} / \text{conc.}$

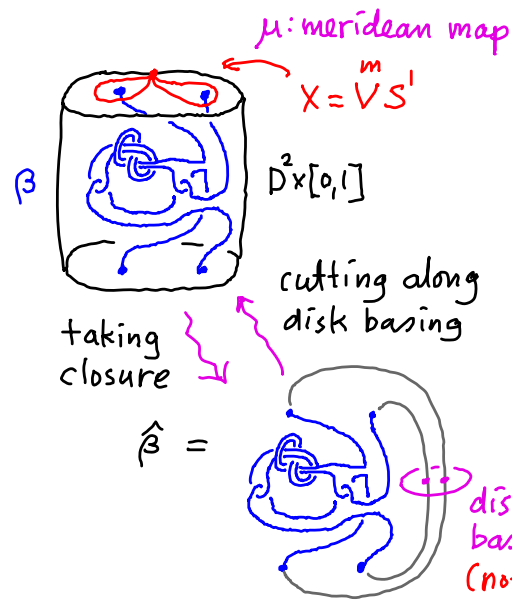
$\{0\} \subseteq \dots \subseteq \mathcal{F}_{(n,5)} \subseteq \mathcal{F}_{(n)} \subseteq \dots \subseteq \mathcal{F}_{(0,5)} \subseteq \mathcal{F}_{(0)} \subseteq \{ \text{links} \} / \text{conc.}$

Question: Is there any (nontrivial) torsion in higher terms?

Theorem:  $L \in \mathcal{F}_{(n,5)} \Rightarrow$  for any  $p$ -structure  $(\{M_i\}, \phi)$  of height  $n$ ,  $\lambda(M_n, \phi) = 0$ .

Theorem:  $\exists$  infinitely many 2-torsion  $L \in \mathcal{F}_{(n)} - \mathcal{F}_{(n+1,5)}$

## String link concordance "group"



$\mathcal{E}^{SL} := \frac{\{ \text{string links} \}}{\text{concordance}}$

product: concatenation  
inverse: mirror image

c.f.  $\mathcal{E}^L = \frac{\{ \text{links} \}}{\text{concordance}}$

product: connected sum  
... not well defined!

Def  $\beta$  is an  $\hat{F}$ -string link if  $\mu$  induces  $\widehat{\pi_1(X)} \cong \widehat{\pi_1(S^3 - \hat{\beta})}$  where  $\hat{G}$  = "algebraic closure of  $G$ ", and longitudes = 0 in  $\widehat{\pi_1(X)}$  [Levine, Vogel, C, ...]

$\hat{\mathcal{E}}^{SL} :=$  subgp gen. by  $\hat{F}$ -string links  $\subseteq \mathcal{E}^{SL}$

COT filtration:  $\{0\} \subseteq \dots \subseteq \hat{\mathcal{F}}_{(n,5)}^{SL} \subseteq \hat{\mathcal{F}}_{(n)}^{SL} \subseteq \dots \subseteq \hat{\mathcal{F}}_{(0,5)}^{SL} \subseteq \hat{\mathcal{F}}_{(0)}^{SL} \subseteq \hat{\mathcal{E}}^{SL}$   
subgp gen. by  $(n)$ -solvable  $\hat{F}$ -string links

Proposition: For  $\beta \in \hat{\mathcal{E}}^{SL}$ ,  $\mu$  induces a bijection

$\left\{ p\text{-structures } (\{X_i\}, \theta) \text{ for } X \right\} \cong \left\{ p\text{-structures } (\{M_i\}, \phi) \text{ for } M_{\hat{\beta}} \right\}$

For  $\mathcal{I} = (\{X_i\}, \theta)$  of height  $n$ , define  $\lambda_{\mathcal{I}}(\beta) := \lambda(M_n, \phi)$ .

Theorem: For any  $\mathcal{I} = (\{X_i\}, \theta)$  with height of  $\{X_i\} \leq n$ ,

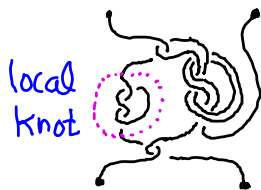
$\lambda_{\mathcal{I}}(\beta)$  induces a group homomorphism

$$\lambda_{\mathcal{I}}: \widehat{\mathcal{F}}_{(n)}^{SL} / \widehat{\mathcal{F}}_{(n,5)}^{SL} \subseteq \widehat{\mathcal{F}}_{(n,5)}^{SL} / \widehat{\mathcal{F}}_{(n,5)}^{SL} \longrightarrow L^0(Q(\mathcal{I}_d))$$

We say  $\mathcal{I}$  is **locally trivial** if  $\theta$  kills lifts of powers of  $x_i = i^{\text{th}}$  circle of  $X = \bigvee^m S^1$ .

Theorem: If  $\mathcal{I}$  is locally trivial,

$$\lambda_{\mathcal{I}}(\beta) = \lambda_{\mathcal{I}}(\beta \text{ with "local knots"})$$



Remark  $\{\text{links}\} / \text{local knots} \rightsquigarrow$  sophistication peculiar to "links"

Theorem: abelianization of  $\frac{\widehat{\mathcal{F}}_{(n)}^{SL}}{\widehat{\mathcal{F}}_{(n,5)}^{SL}} \cdot \langle \text{local knots} \rangle \cong \mathbb{Z}^{\infty}$

$\{n\text{-solv. boundary links}\} \cong \widehat{\mathcal{F}}_{(n)}^{SL} / \widehat{\mathcal{F}}_{(n,5)}^{SL}$

c.f. Harvey defined  $P_n: \mathcal{B}\mathcal{F}_{(n)}^{SL} / \mathcal{B}\mathcal{F}_{(n,5)}^{SL} \rightarrow \mathbb{R}$

and using it, showed (abelianization of  $\mathcal{B}\mathcal{F}_{(n)}^{SL} / \mathcal{B}\mathcal{F}_{(n,5)}^{SL}$ )  $\cong \mathbb{Z}^{\infty}$

Theorem: The kernel of  $P_n$  is large: abelianization of  $\text{Ker } P_n \cong \mathbb{Z}^{\infty}$  (modulo local knots)

i.e.  $\exists$  infinitely many "independent" string links in  $\text{Ker } P_n$ .

## Independence of links

Theorem:  $\exists$  infinitely many  $L_i \in \mathcal{F}_{(n)}$  "independent mod  $\mathcal{F}_{(n,5)}$ " w.r.t. connected sum in the following sense:

$$\# \sum_i a_i L_i \in \mathcal{F}_{(n,5)} \text{ for some disk basings} \Rightarrow a_i = 0 \forall_i.$$

Theorem:  $\exists$  infinitely many 2-torsion  $L_i \in \mathcal{F}_{(n)}$  which are "independent mod  $\mathcal{F}_{(n+1,5)}$ " in the following sense:

$$\text{For } a_i \in \{0, 1\}, \# \sum_i a_i L_i \in \mathcal{F}_{(n+1,5)} \text{ for some disk basings}$$

$$\Rightarrow a_i = 0 \forall_i.$$

# Thank You!